

Some Finitely Strictly Singular Operators in Analysis

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Definitions

An bounded operator $T: X \rightarrow Y$ between two Banach spaces is **Strictly Singular (SS)** if for every infinite dimensional subspace E of X , the restriction of T to E does not realize an isomorphism from E onto $T(E)$.

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This version can be quantified/localized requiring a little more: The operator T is called **Finitely Strictly Singular (FSS)** if: for every $\varepsilon > 0$, **there exists $N_\varepsilon \geq 1$ such that, for every subspace E of X with dimension greater than N_ε** , there exists x in the unit sphere of E such that $\|T(x)\| \leq \varepsilon$.

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$$b_n(T) \leq a_n(T) = \inf\{\|T - R\| : R: X \rightarrow Y, \text{rank}(R) < n\}.$$

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Moreover

$$b_n(T) = b_n(T_{\mathcal{U}}), \quad \text{for every } n \text{ and every ultrapower } T_{\mathcal{U}} \text{ of } T.$$

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Take the inclusion map of $\ell^1 = \bigoplus_{\ell^1} \ell_n^1$ into the space $\bigoplus_{\ell^2} \ell_n^1$. It is not FSS, but it is SS.

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Ex. 2: (V. Milman '70)

For $1 \leq p < q \leq \infty$, the inclusion map $\ell^p \hookrightarrow \ell^q$ is FSS, but it is not compact.

Ex. 3:

For $1 < p < +\infty$, the inclusion map $\mathcal{C}[0, 1] \hookrightarrow L^p[0, 1]$ is FSS, but it is not compact. The same for $L^\infty[0, 1] \hookrightarrow L^p[0, 1]$.

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Proposition (Flores, Hernández, Raynaud)

If $E[0, 1]$ is a rearrangement invariant space and $E \neq L^\infty$; then the inclusion map $L^\infty[0, 1] \hookrightarrow E[0, 1]$ is FSS.

More examples

Ex. 4: (Plichko '04)

The Fourier transform $\mathcal{F}: L^1(\mathbb{T}) \rightarrow c_0(\mathbb{Z})$ sending

$$f \mapsto (\hat{f}(m))_{m \in \mathbb{Z}}$$

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$$\left\| \sum_j \alpha_j \widehat{\delta_{z_j}} \right\|_{\ell^\infty(\mathbb{Z})} = \sup_m \left| \sum_j \alpha_j e^{imt_j} \right| = \sum_j |\alpha_j| = \left\| \sum_j \alpha_j \delta_{z_j} \right\|_{M(\mathbb{T})}.$$

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Changing δ_{z_j} by $F_N * \delta_{z_j}$, where F_N is the N 'th Fejér Kernel with N large enough, we see that

$$b_n(\mathcal{F}) = 1, \quad \text{for every } n.$$

Some results of structure

Theorem (V. Milman '70)

The set $\mathcal{FSS}(X, Y)$ of all finitely strictly singular operators from X to Y is a closed linear subspace of $\mathcal{L}(X, Y)$ with the ideal property. That is, $S \circ T \circ R \in \mathcal{FSS}(X_1, Y_1)$, whenever $R \in \mathcal{L}(X_1, X)$, $T \in \mathcal{FSS}(X, Y)$, and $S \in \mathcal{L}(Y, Y_1)$.

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It is not so obvious:

$$T, S \in \mathcal{FSS}(X, Y) \implies T + S \in \mathcal{FSS}(X, Y)$$

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Theorem (Plichko '04)

Let $T \in \mathcal{L}(X, Y)$. Then T is FSS if and only if for every sequence $\{E_n\}$ of subspaces of X with $\dim(E_n) \rightarrow \infty$, there exist subspaces $F_n \subset E_n$ such that:

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Equivalent to the problem of the sum is the following fact:

$$T_1 \in \mathcal{FSS}(X_1, Y_1), \quad T_2 \in \mathcal{FSS}(X_2, Y_2) \quad \implies \\ T_1 \oplus T_2 \in \mathcal{FSS}(X_1 \times X_2, Y_1 \times Y_2),$$

where

$$T_1 \oplus T_2(x_1, x_2) = (Tx_1, Tx_2), \quad (x_1, x_2) \in X_1 \times X_2.$$

The diagonal Theorem

Suppose $\{T_n\}_n$ is a uniformly bounded sequence of operators $T_n: X_n \rightarrow Y_n$. Let $1 \leq p < q \leq \infty$. Then the diagonal operator

$$\mathbf{X} = \bigoplus_{\ell^p} X_n, \quad \mathbf{Y} = \bigoplus_{\ell^q} Y_n, \quad \mathbf{T}: \mathbf{X} \rightarrow \mathbf{Y},$$

defined by $\mathbf{T}((x_n)_n) = (T_n x_n)_n$ is bounded.

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Is it \mathbf{T} an FSS operator?

We say that a sequence $\{T_n\}_n$ of operators $T_n: X_n \rightarrow Y_n$ is **uniformly finitely strictly singular** if for every $\varepsilon > 0$, there exists N_ε such that for every $n \in \mathbb{N}$, and every subspace E of X_n with $\dim(E) \geq N_\varepsilon$, there exists $x \in S_E$, such that $\|T_n x\| \leq \varepsilon$.

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- It can be reduced to the case $q = \infty$.

$$\text{use: } \|y\|_q \leq \|y\|_\infty^\theta \|y\|_p^{1-\theta}, \quad \text{for } 1 \leq p < q < \infty$$

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- It can be reduced to the case $q = \infty$.
- If $E \subset \mathbf{X}$ is finite dimensional, and $\|\mathbf{T}\mathbf{x}\|_\infty \geq \delta\|\mathbf{x}\|_p$, $\forall \mathbf{x} \in E$. Then there exists $N \in \mathbb{N}$ and $\varepsilon > 0$, only depending on δ , such that there is $A \subset \mathbb{N}$ with $\text{card}(A) \leq N$ and

$$\max\{\|T_n x_n\| : n \in A\} \geq \varepsilon\|\mathbf{x}\|_p, \quad \forall \mathbf{x} \in E.$$

Fourier Transform again

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If $1 < p < 2$, and p^* is its conjugate exponent, then the Fourier Transform

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In fact this result is valid for the Fourier transform in every locally compact abelian group; in particular, for the Fourier transform in \mathbb{R}^d . Moreover this is a direct consequence of a more general result.

An interpolation result

Suppose (Ω, μ) and (Δ, ν) are two measure spaces and T is an operator such that

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Theorem

For $1 < p < 2$, the operator T_p is FSS.

Moreover, for every $p \in (1, 2)$, there exists $K_p > 0$ such that, if $\|T_1\| \leq 1$ and $\|T_2\| \leq 1$, then

$$b_n(T_p) \leq k_p n^{-1/r}, \quad \text{for every } n,$$

where $\frac{1}{r} = \frac{1}{p} - \frac{1}{2}$.

A lemma

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Lemma

The operator $T_{g,h}$ is in the Schatten class $\mathcal{S}_r(L^2(\mu), L^2(\nu))$.
Moreover, if $\|g\|_r \leq 1$, and $\|u\|_r \leq 1$, we have

$$\sum_{k=1}^{\infty} a_k(T_{g,h})^r \leq 1 \quad \text{and} \quad a_n(T_{g,h}) \leq n^{-1/r}, \forall n \in \mathbb{N}$$

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- For $r = 2$, $T_{g,u}$ is an order bounded operator. Indeed

$$|T_{g,u}f| = |u| \cdot |T(gf)| \leq \|T(gf)\|_{L^\infty(\nu)} |u| \leq \|g\|_2 \|f\|_2 |u|,$$

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Then $T_{g,u}$ is in the Schatten class \mathcal{S}_2 , and $\|T_{g,u}\|_{\mathcal{S}_2} \leq \|g\|_2 \|u\|_2$.

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Proof of the lemma. Assume $\|T_1\|, \|T_2\| \leq 1$. For $r \in [2, +\infty]$, consider the following bilinear operator:

$$\begin{aligned}\Phi: L^r(\mu) \times L^r(\nu) &\longrightarrow \mathcal{L}(L^2(\mu), L^2(\nu)) \\ (g, u) &\longmapsto T_{g,u}\end{aligned}$$

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From an interpolation argument the lemma follows: Φ sends $L^r(\mu) \times L^r(\nu)$ into \mathcal{S}_r .

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The diagram illustrates the mapping T from the subspace E of $L^p(\mu)$ to the subspace $T(E)$ of $L^{p^*}(\nu)$. A red curved arrow labeled T^{-1} indicates the inverse mapping from $T(E)$ back to E .

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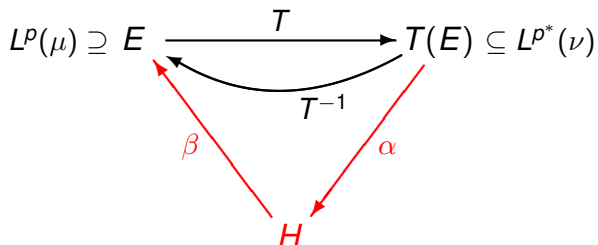
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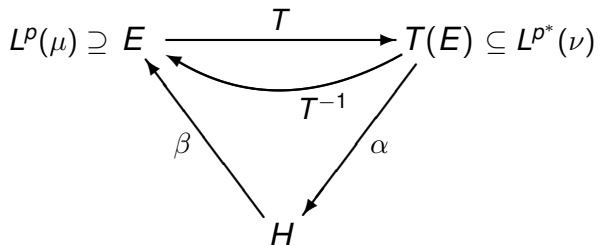


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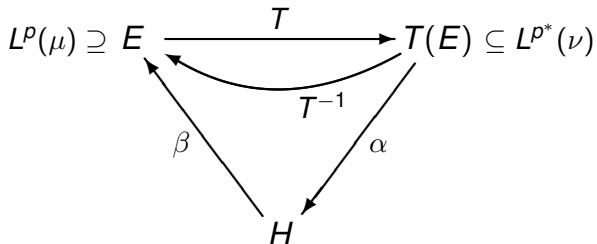
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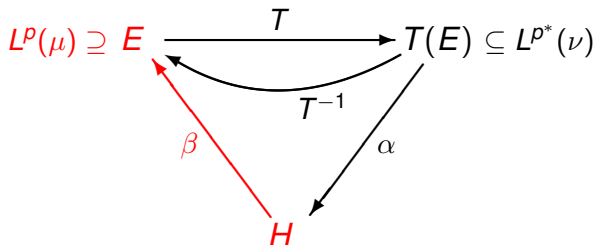
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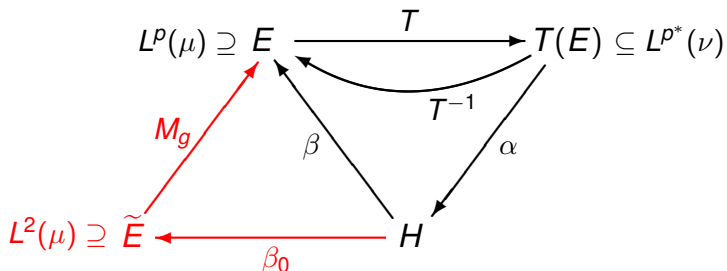
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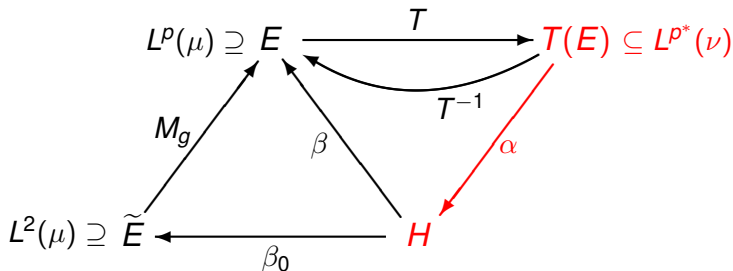
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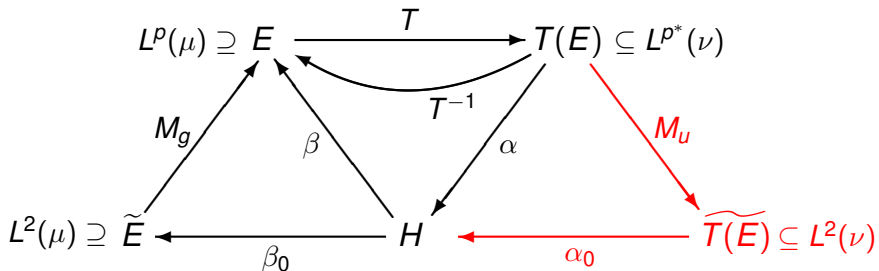
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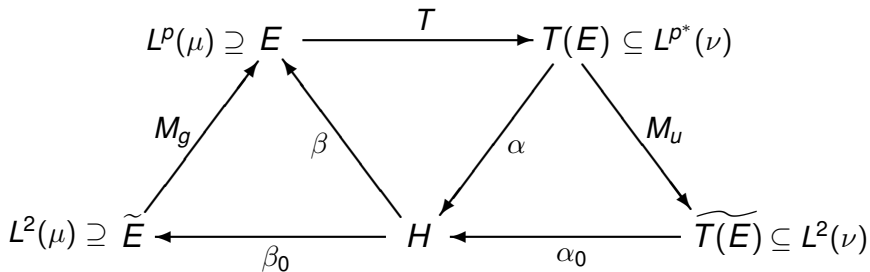
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$$\widetilde{T(E)} = \{h \cdot u : h \in T(E)\}, \quad \|\alpha_0\| \lesssim \|\alpha\| \lesssim 1/\delta.$$

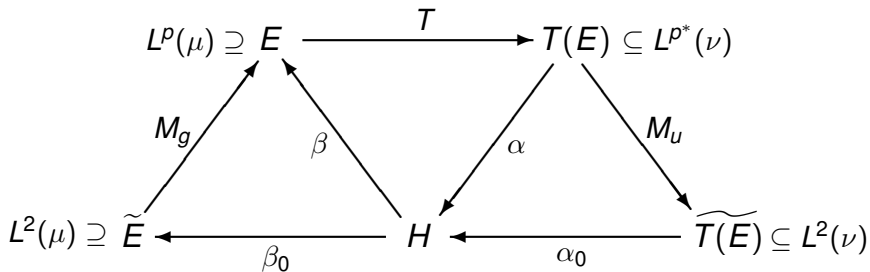
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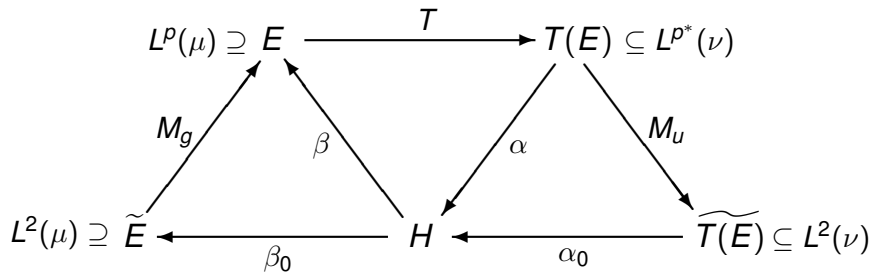
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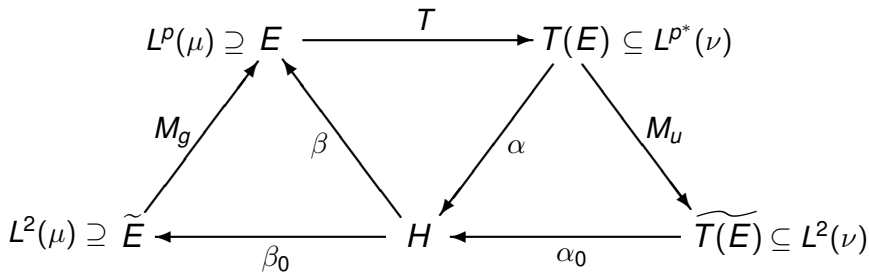


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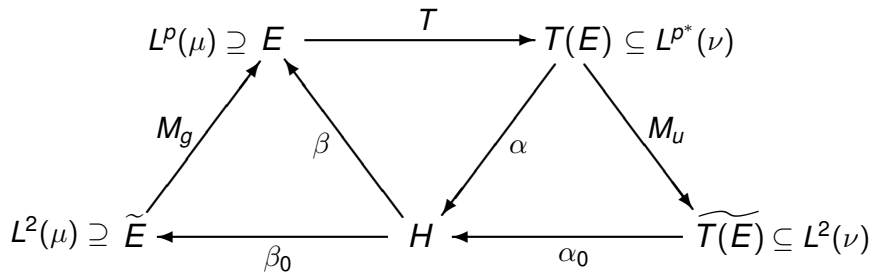


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Therefore $\delta \leq K_p n^{-1/r}$, and we have, for the Bernstein numbers,

$$b_n(T_p) \leq K_p n^{-1/r}, \quad \text{for every } n.$$

Fourier Transform again

Remark: The estimate $b_n(T_p) \lesssim n^{-1/r}$ is sharp. This can be shown with the Fourier transform $\mathcal{F}_p: L^p(\mathbb{T}) \rightarrow \ell^{p^*}(\mathbb{Z})$.

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This yields $b_n(\mathcal{F}_p) \geq n^{-1/r}$.

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Let $\mathbb{T} = \partial\mathbb{D} = \{z \in \mathbb{C} : |z| = 1\}$. On the torus \mathbb{T} we consider the normalized arc-length measure m . Every $f \in H^p(\mathbb{D})$ has almost everywhere radial limit f^*

$$f^*(e^{it}) = \lim_{r \rightarrow 1^-} f(re^{it}).$$

It is known that $f^* \in L^p(\mathbb{T}) = L^p(m)$ and $\|f\|_{H^p} = \|f^*\|_{L^p}$.

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Observe that, putting $f_r(z) = f(rz)$. We have

$$\|f\|_{B^q}^q = \int_0^1 \|f_r\|_{H^q}^q 2r dr.$$

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Theorem (Lefèvre, R-P)

The natural inclusion $H^p \hookrightarrow B^{2p}$ is FSS, for every $p \in [1, +\infty)$.

Proof for $p = 1$

For $p = 1$ we can use Hardy inequality. We denote by $\hat{f}(n)$ the n 'th Taylor coefficient in 0 of $f \in \mathcal{H}(\mathbb{D})$. Then

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It is not difficult to see that

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Proof for $p = 1$

This allows us to factorize the inclusion $H^1 \hookrightarrow B^2$ through the inclusion $L^1(\mu) \cap L^\infty(\mu) \hookrightarrow L^2(\mu)$, for μ the measure defined on \mathbb{N} by

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Some ingredients in the proof for $p > 1$

We use here Littlewood-Paley decomposition. For $j \geq 0$,

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Somes ingredients in the proof for $p > 1$

We use here Littlewood-Paley decomposition. For $j \geq 0$,

$$\Lambda_j = (2^{j-1} - 1, 2^j) \cap \mathbb{Z}.$$

For $f \in H^p$ let us define $P_j f$ by

$$P_j f(z) = \sum_{m \in \Lambda_j} \hat{f}(m) z^m, \quad z \in \mathbb{D}.$$

Littlewood-Paley decomposition

For $1 < p < +\infty$, we have:

- $\|f\|_{H^p} \approx \left\| \left(\sum_{j \geq 0} |P_j f|^2 \right)^{1/2} \right\|_{L^p(\mathbb{T})}.$
- $\|f\|_{B^p} \approx \left\| \left(\sum_{j \geq 0} |P_j f|^2 \right)^{1/2} \right\|_{L^p(\mathcal{A})}.$

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As a consequence we have, for $1 < p \leq 2$,

$$\left(\sum_{j \geq 0} \|P_j f\|_{H^p}^2 \right)^{1/2} \approx \|f\|_{H^p} \approx \left(\sum_{j \geq 0} \|P_j f\|_{H^p}^p \right)^{1/p};$$

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and, for $p \geq 2$,

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If $f \in H^p$, and $\hat{f}(m) = 0$, for $m < N$; Then $\|f\|_{B^p}^p \leq \frac{2}{N} \|f\|_{H^p}^p$

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Lemma 3

Let J_k be the inclusion $J_k: H_k^p \hookrightarrow B^{2p}$. Then the sequence $\{J_k\}_{k \geq 0}$ is uniformly finitely strictly singular.

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Proof of (1) for $p = 2$. Put $f_k = P_k f$. By Littlewood–Paley

$$\|f\|_{B^4}^4 \lesssim \int_{\mathbb{D}} \left(\sum_k |f_k|^2 \right)^2 d\mathcal{A} = \sum_{k,l} \int_{\mathbb{D}} |f_k|^2 |f_l|^2 d\mathcal{A} = \sum_{k,l} \|f_k f_l\|_{B^2}^2$$

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If $k \leq l$, we have $\|f_k f_l\|_{B^2}^2 \lesssim 2^{-l} \|f_k f_l\|_{H^2}^2 \leq 2^{k-l} \|f_k\|_{H^2}^2 \|f_l\|_{H^2}^2$.

Some ingredients in the proof for $p > 1$

Therefore

$$\|f\|_{B^4}^4 \lesssim 2 \sum_{k \leq l} \|f_k f_l\|_{B^2}^2 \lesssim 2 \sum_{k=0}^{\infty} \sum_{j=0}^{\infty} 2^{-j} \|f_k\|_{H^2}^2 \|f_{k+j}\|_{H^2}^2$$

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by Cauchy-Schwartz

$$\|f\|_{B^4}^4 \lesssim \sum_j 2^{-j} \left(\sum_k \|f_k\|_{H^2}^4 \right)^{1/2} \left(\sum_k \|f_{k+j}\|_{H^2}^4 \right)^{1/2} \lesssim \sum_k \|f_k\|_{H^2}^4.$$