

# Selected topics in positive and regular operators acting between Banach lattices

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$E = (E, \|\cdot\|), F = (F, \|\cdot\|)$  Banach lattices

Arnoud C. M. van Rooij, *When do regular operators between two Riesz spaces form a Riesz space?* (Report 8410, Department of Mathematics, Catholic University Nijmegen, 1984, 97 pp.)

positive operators  $L_+(E, F)$  ( $T(E_+) \subset F_+$ )

regular operators  $L_r(E, F)$  ( $T = T_1 - T_2, T_i \in L_+(E, F)$ ,  
 $L_r(E, F) = \text{span } L_+(E, F)$ )

order bounded operators  $L_b(E, F)$  ( $A \subset E$  order bounded  $\Rightarrow$   
 $T(A)$  order bounded in  $F$ )

continuous operators  $L(E, F)$

$L_r(E, F), L_b(E, F), L(E, F)$  are ordered vector space with  
 respect to the order generated by  $L_+(E, F)$ :

$T \leq S \Leftrightarrow S - T \in L_+(E, F)$

$T : E \rightarrow F$  order continuous  $(x_\alpha \xrightarrow{(0)} x \Rightarrow T(x_\alpha) \xrightarrow{(0)} T(x))$   
 $\Rightarrow T \in L_b(E, F)$

$$L_b(E, F) \subset L(E, F)$$

$\|x_n\| \rightarrow 0 \Rightarrow$  passing to a subsequence if necessary  $x = \sum_{n=1}^{\infty} n|x_n| \Rightarrow$   
 $\{nx_n : n \in \mathbb{N}\} \subset [-x, x] \Rightarrow \{nT(x_n) : n \in \mathbb{N}\}$  order bounded  
 $\|nT(x_n)\| < M \Rightarrow \|T(x_n)\| \rightarrow 0$

$$L_+(E, F) \subsetneq L_r(E, F) \subsetneq L_b(E, F) \subsetneq L(E, F)$$

for  $E = C[0, 1]$ ,  $F = C[0, 1] \times \ell^p$  ( $p < \infty$ )

$$L_r(\ell^1, F) = L_b(\ell^1, F) = L(\ell^1, F) \text{ for an arbitrary } F$$

$T \in L(\ell^1, F) \Rightarrow \sum_{n=1}^{\infty} a_n |T(e_n)| = S((a_n))$   
 $S, (S - T) \in L_+(\ell^1, F)$  and  $T = S - (S - T)$

$L_r(E, F) = L_b(E, F) = L(E, F)$  for an arbitrary  $F \Rightarrow E$  is order isomorphic to  $\ell^1(\Gamma)$

$L_b(E, F) = L_r(E, F^\delta) \cap L(E, F)$  because  $F$  is full (= cofinal) in its Dedekind completion  $F^\delta$  (i.e.,  $\forall y \in F^\delta \exists x \in F y \leq x$ )

finite rank operators  $\mathcal{F}(E, F) \subset L_r(E, F)$ :  $T = \sum_{k=1}^n f_k \otimes x_k$ ,  
 $x_k \in E, f_k \in F^*$ , then

$$T = \sum_{k=1}^n |f_k| \otimes |x_k| - (\sum_{k=1}^n |f_k| \otimes |x_k| - T)$$

$$T_1 = \bigvee_{k=1}^n |f_k| \otimes \bigvee_{k=1}^n |x_k| \Rightarrow T = T_1 - (T_1 - T)$$

Question: Is an operator of rank  $k$  a difference of two positive operators of the same rank  $k$  ?

$f \otimes x$  is a difference of two positive rank-one operators iff  $x$  or  $f$  is comparable with zero.

Suppose  $f$  and  $x$  are not comparable with zero but

$$T = f \otimes x = T_1 - T_2 \text{ where } T_i = f_i \otimes x_i \geq 0.$$

$T_i \geq 0 \Rightarrow$  we can assume without loss of generality  $x_i, f_i \geq 0$ .

$T$  is not comparable with zero  $\Rightarrow T_i \neq 0$ .

$f \otimes x = f_1 \otimes x_1 - f_2 \otimes x_2 \Rightarrow \text{Ker } f_1 \cap \text{Ker } f_2 \subset \text{Ker } f$ . Hence

$$f = \alpha f_1 + \beta f_2$$

Suppose  $f_1, f_2$  are linearly independent. Therefore

$\text{Ker } f_2 \not\subset \text{Ker } f_1$ . Hence  $f(y)x = \alpha f_1(y)x_1 \neq 0$  for some  $y \in E$

which is impossible because  $x$  is not comparable with zero.

Assume now that  $f_1, f_2$  are linearly dependent. But now  $f = \gamma f_1$  for some nonzero  $\gamma$  which is impossible again because  $f$  is not comparable with zero.

$L_r(E, F)$  contains nuclear operators, i.e., operators of the form  $\sum_{k=1}^{\infty} f_k \otimes x_k$  where  $\sum_{k=1}^{\infty} \|f_k\| < \infty$  and  $\sum_{k=1}^{\infty} \|x_k\| < \infty$ .

Topological properties of  $L_r(E, F)$  and  $L_b(E, F)$ .

Let  $K$  be a metrizable compact space.

$c_0$  is Dedekind complete  $\Rightarrow L_r(C(K), c_0) = L_b(C(K), c_0)$

order bounded sets in  $c_0 =$  relatively compact sets in  $c_0 \Rightarrow$

$L_r(C(K), c_0) = \mathcal{K}(C(K), c_0)$  but  $\mathcal{K}(C(K), c_0) \neq L(C(K), c_0)$

because  $C(K)$  is separable and so  $c_0$  is complemented in  $C(K)$  but a projection is not compact.

Let  $p, q \in (1, \infty)$ . There exists  $T \in \mathcal{K}(\ell^p, \ell^q) \setminus L_r(\ell^p, \ell^q)$ .

$\ell^q$  has the approximation property  $\Rightarrow T = \lim_{n \rightarrow \infty} T_n$  where

$T_n \in \mathcal{F}(\ell^p, \ell^q) \subset L_r(\ell^p, \ell^q)$ , i.e.,  $L_r(\ell^p, \ell^q) = L_b(\ell^p, \ell^q)$  is not

closed in  $L(\ell^p, \ell^q)$ . If  $q < p$ , then  $L_r(\ell^p, \ell^q)$  is a proper dense

subset in  $L(\ell^p, \ell^q)$  because  $L(\ell^p, \ell^q) = \mathcal{K}(\ell^p, \ell^q)$  by Pitt's

theorem.

$L_r(E, F)$  is a Banach space with respect to a norm called the regular norm  $\|\cdot\|_r$

$$\|T\|_r = \inf\{\|S\| : \pm T \leq S\} =$$

$$\inf\{\|T_1 + T_2\| : T = T_1 - T_2, T_i \in L_+(E, F)\}.$$

$\|T\| \leq \|T\|_r$  (there exists  $T : (\mathbb{R}^{2^n}, \|\cdot\|_2) \rightarrow (\mathbb{R}^{2^n}, \|\cdot\|_2)$  with

$\|T\| = 1$  and  $\|T\|_r = \sqrt{2^n}$ ; for  $n = 1$  we can choose

$$T = \frac{1}{\sqrt{2}} \begin{bmatrix} 1 & 1 \\ 1 & -1 \end{bmatrix})$$

When do spaces  $L_r(E, F)$  form a Riesz space ?

$F$  is Dedekind complete  $\Rightarrow L_r(E, F)$  is a Dedekind complete Riesz space for every  $E$  and the modulus  $|T| = \sup\{T, -T\}$  of  $T$  is given by the Kantorovich's formula

$$|T|(x) = \sup_{|y| \leq x} |T(y)| \text{ for every positive } x \in E$$

On the other hand if  $F$  is not necessary Dedekind complete but  $T : E \rightarrow F$  is such that the above formula makes sense for each  $x \in E_+$  then it defines a positive operator, and it is precisely the modulus of  $T$ . When this is the case we say that the *modulus exists properly*, or that  $T$  has a proper modulus.

Also, when the modulus  $|T|$  exists we shall say that it exists properly at  $x$  if  $|T|(x)$  is given by the Kantorovich's equality.

old open question: does there exist a regular operator with non-proper modulus?

Assume that an operator  $T : E \rightarrow F$  possesses the modulus and let  $E_{p|T|} = \{x \in E_+ : T \text{ exists properly at } x\}$

### Proposition

*The set  $E_{p|T|}$  possesses the following properties.*

- $E_{p|T|}$  is a cone.
- $0 \leq y \leq x \in E_{p|T|} \Rightarrow y \in E_{p|T|}$ .
- $E_{p|T|}$  is closed under finite infima and suprema ( $x, y \in E_{p|T|} \Rightarrow x \wedge y, x \vee y \in E_{p|T|}$ ).

If  $T = f \otimes x$ , then  $|T| = |f| \otimes |x|$  – but there exists a finite rank operator whose modulus is not a finite rank operator.

### Theorem

*If  $E, F$  are Banach lattices, then every continuous finite rank operator  $T : E \rightarrow F$  has a proper modulus  $|T|$  in  $L_r(E, F)$  and the modulus  $|T|$  is compact.*

$F$  is Dedekind complete  $\Rightarrow L_r(E, F)$  is a Riesz space – what about  $\Leftarrow$  ?

Y.A. Abramovich, V.A. Gejler, A.C.M. van Rooij, A.W. Wickstead:

### Theorem

*For a Banach lattice  $F$  the following statements are equivalent.*

- (a)  $L_r(E, F)$  is a Riesz space for all Banach lattices  $E$ .
- (b)  $L_r(L^1(\mu), F)$  is a Riesz space for all measures  $\mu$ .
- (c)  $L_r(C(K), F)$  is a Riesz space for every compact set  $K$ .
- (d)  $L_r(c(S), F)$  is a Riesz space for every set  $S$ , where  $c(S) = \{f \in \mathbb{R}^S : \exists r > 0 \forall \varepsilon > 0 |f(s) - r| \geq \varepsilon \text{ for at most finitely many } s\}$ , and  $\|f\| = \sup_{s \in S} |f(s)|$ .
- (e)  $F$  is Dedekind complete.

A. van Rooij's characterization of  $\sigma$ -Dedekind complete Banach lattices.

### Theorem

*For a Banach lattice  $F$  the following statements are equivalent.*

- (a)  $L_r(L^1[0, 1], F)$  is a Riesz space.*
- (b)  $L_r(c, F)$  is a Riesz space, where  $c = c(\mathbb{N})$ .*
- (c)  $L_r(C(K), F)$  is a Riesz space for every infinite metrizable compact space  $K$ .*
- (d)  $F$  is  $\sigma$ -Dedekind complete.*

Y.A. Abramovich, A.W. Wickstead:

### Theorem

*(d)  $\Leftrightarrow$  (e):  $L_r(E, F)$  are  $\sigma$ -Dedekind complete Riesz spaces for all separable Banach lattices  $E$ .*

## Proposition

*If  $L_r(c, F)$  is a Riesz space, then every  $T \in L_r(c, F)$  has the proper modulus.*

## Theorem

*For a Banach lattice  $E$  the following statements are equivalent.*

- (a)  *$L_r(E, F)$  is a Riesz space for all Banach lattices  $F$  and every  $T \in L_r(E, F)$  has the proper modulus.*
- (b)  *$L_r(E, F)$  is a Riesz space for all Banach lattices  $F$ .*
- (c)  *$L_r(E, C(K))$  is a Riesz space for every compact space  $K$ .*
- (d)  *$E$  is discrete and its norm is order continuous.*
- (e)  *$E$  is  $\sigma$ -Dedekind complete and  $L(E, c_0) = L_r(E, c_0)$ .*
- (f) *Every  $x \in E$  lies in an ideal of  $E$  that is order isomorphic to a quotient Riesz space of  $c_0$ .*

$0 < x \in E$  is discrete iff  $|y| \leq x \Rightarrow y = tx$  for some scalar  $t$  (unit vectors are discrete in classical sequence Banach lattices).

$E$  is discrete if  $\forall_{0 < x \in E} \exists_{\text{discrete } e \in E} e \leq x$ .

$E$  is discrete  $\Rightarrow \exists_{\Gamma} \exists_{\text{sublattice } F \subset \mathbb{R}^{\Gamma}}$  such that  $\text{span}\{1_{\{\gamma\}} : \gamma \in \Gamma\} \subset F \sim E$ .

Examples of discrete spaces: all classical sequence Banach lattices.

A Banach lattice is continuous when it contains none discrete elements ( $C[0, 1]$ ,  $L^p(\mu)$  for atomless measures  $\mu$ ,  $\ell^\infty / c_0$ ).

A Banach lattice  $E = (E, \|\cdot\|)$  has order continuous norm if  $x_\alpha \downarrow 0 \Rightarrow \|x_\alpha\| \rightarrow 0$ .

When is  $L_r(E, F)$  discrete or continuous ?

### Theorem

*Let  $E, F$  be two Banach lattices and let  $F$  be Dedekind complete.*

- (a)  $L_r(E, F)$  is discrete iff  $E^*$  and  $F$  are discrete.*
- (b)  $L_r(E, F)$  is continuous iff  $E^*$  or  $F$  is continuous.*

*Moreover,  $T \in L_r(E, F)$  is discrete iff  $T = f \otimes e$  where  $e$  is discrete in  $E$  and  $f \in F^*$  is a homomorphism (i.e.,  $f$  is discrete in the dual space).*

Z.L. Chen

### Theorem

*The regular norm is order continuous on  $L_r(E, F)$  iff positive operators between  $E$  and  $F$  are simultaneously  $L$ -weakly and  $M$ -weakly compact ( i.e.,  $\|y_n\| \rightarrow 0$  whenever  $y_n \in \text{sol } T(B_E)$  are disjoint and  $\|Tx_n\| \rightarrow 0$  for each norm bounded disjoint sequence  $(x_n) \subset E$  ).*

## Corollary

*If a Banach lattice  $F$  has order continuous norm, then the regular norm on  $L_r(C(K), F)$  is order continuous too.*

norm on  $F$  is order continuous  $\Leftrightarrow$  regular operators from  $C(K)$  into  $F$  are weakly compact, but weakly compact operators on  $C(K)$  spaces coincide with M-weakly compact operators. The dual of  $C(K)$  has order continuous norm and now we can use the Dodds-Fremlin theorem: M-weakly compact operators mapping  $T : E \rightarrow F$  are L-weakly compact and vice versa whenever  $E^*$  and  $F$  have order continuous norms.

## Corollary

*The regular norm is order continuous on  $L_r(E, E)$  iff  $E$  is finite dimensional.*

$E$  is a KB space  $\Leftrightarrow (0 \leq x_n \uparrow \text{ and } \sup_n \|x_n\| < \infty) \Rightarrow (x_n)$   
 is convergent  $\Leftrightarrow E$  does not contain any subspace  
 isomorphic to  $c_0$

Z.L. Chen

### Theorem

*The following statements are equivalent.*

- (a)  $(L_r(E, F), \|\cdot\|_r)$  is a KB-space.
- (b)  $\|\cdot\|_r$  is order continuous and  $F$  is a KB-space.
- (c)  $F$  is a KB-space and every positive  $T : E \rightarrow F$  is  $M$ -weakly compact.

### Corollary

*If  $L^p(\mu), L^q(\nu)$  are infinite dimensional, then  
 $(L_r(L^p(\mu), L^q(\nu)), \|\cdot\|_r)$  is a KB-space iff  $q < p$ .*

$E$  has the positive Schur property ( $E \in (\text{PSP})$ ) whenever

$$0 \leq x_n \xrightarrow{\sigma(E, E^*)} 0 \Rightarrow \|x_n\| \rightarrow 0.$$

$L^1(\mu) \in (\text{PSP})$

D. Leung:  $\mu$  finite,  $\varphi$  an Orlicz function such that

$$\lim_{s \rightarrow \infty} \frac{\varphi^*(2s)}{\varphi^*(s)} = \infty \text{ (where } \varphi^*(t) = \sup_{s > 0} (st - \varphi(s)) \text{)} \Rightarrow$$

$L^\varphi(\mu) \in (\text{PSP})$ ; moreover  $(L^\varphi(\mu))^{(2^n)} \in (\text{PSP})$  for all  $n$ .

### Corollary

*Suppose that  $E^*$  (respectively  $F$ ) possesses the positive Schur property. Then  $L_r(E, F)$  with the regular norm is a KB-space iff  $F$  (respectively  $E^*$ ) is a KB-space.*

A. van Rooij

### Proposition

*The space  $L_r(\ell^\infty, F)$  is a Riesz space iff  $F$  is  $\mathfrak{c}$ -complete, i.e., every order bounded from above subset  $X$  with  $\text{card } X \leq \mathfrak{c}$  has a supremum.*

When  $L(E, F) = L_r(E, F)$  ?

? L.V Kantorovich, B.Z. Vulikh

### Theorem

*If  $E, F$  are such that  $F$  is order isomorphic to a Dedekind complete space  $C(K)$  or  $E$  is order isomorphic to  $L^1(\mu)$  and simultaneously there exists norm one positive projection  $P : F^{**} \rightarrow F$ , then every continuous operator  $T : E \rightarrow F$  is regular (and so  $L(E, F)$  is a Riesz space). Moreover the operator and regular norms are equal.*

conjecture:  $L(E, F) = L_r(E, F) \Rightarrow E$  is order isomorphic to  $L^1(\mu)$  or  $F$  is order isomorphic to a closed Riesz subspace in some  $C(K)$  space.

## D. Cartwright and H.P. Lotz

### Theorem

*Let  $E, F$  be Banach lattices such that  $F$  (resp.  $E^*$ ) contains a closed Riesz subspace order isomorphic to  $\ell^p$  for a finite  $p$ . If every compact operator  $T : E \rightarrow F$  belongs to  $L_r(E, F^{**})$ , then  $E$  is order isomorphic to  $L^1(\mu)$  (resp.  $F$  is order isomorphic to a closed Riesz subspace of some  $C(K)$ ).*

### Corollary

*$L(E, L^1(\mu)) = L_r(E, L^1(\mu))$  for infinite dimensional  $L^1(\mu)$  iff  $E$  is order isomorphic to  $L^1(\nu)$ .*

Y.A. Abramovich and A.W. Wickstead: arguments “supporting” the conjecture.

### Theorem

*The following conditions on a Banach lattice  $F$  are equivalent.*

- (a)  *$F$  is order isomorphic to a Dedekind complete  $C(K)$  space.*
- (b) *For every Banach lattice  $E$  the space  $L(E, F)$  is a Riesz space.*
- (c) *For every Banach lattice  $E$  every continuous  $T : E \rightarrow F$  is regular and  $L_r(E, F)$  forms a Riesz space.*

Y.A. Abramovich disproved the conjecture – there exists  $E$  and  $F$  such that  $E$  is not order isomorphic to any  $L^1(\mu)$ ,  $F$  is not order isomorphic to any AM-space but every  $T \in L(E, F)$  has the modulus (in particular  $L(E, F) = L_r(E, F)$ ).

We have already mentioned that  $L(L^1(\mu), F) = L_r(L^1(\mu), F)$  whenever there exists a contractive positive projection  $P : F^{**} \rightarrow F$ . The assumption about  $F$  can be slightly weakened – it is enough to require that  $F$  has the Levi property, i.e., increasing norm bounded nets of positive elements have a supremum in  $F$ .

Abramovich and Wickstead noticed that this modified version of the theorem can be reversed.

### Theorem

*The following conditions on a Banach lattice  $F$  are equivalent.*

- (a)  *$F$  has the Levi property.*
- (b)  *$L(L^1(\mu), F)$  is a Riesz space for every measure  $\mu$ .*
- (c)  *$L(L^1(\mu), F) = L_r(L^1(\mu), F)$  for every  $\mu$  and  $F$  is Dedekind complete.*

$\|\cdot\|$  is order continuous on  $E$  ( $E \in (\text{o.c.})$ ) iff  $x_\alpha \downarrow 0 \Rightarrow \|x_\alpha\| \rightarrow 0$

$\|\cdot\|$  is  $\sigma$ -order continuous on  $E$  ( $E \in (\sigma\text{-o.c.})$ ) iff  $x_n \downarrow 0 \Rightarrow \|x_n\| \rightarrow 0$

order continuity =  $\sigma$ -order continuity when  $E$  is  $\sigma$ -Dedekind complete

$\|\cdot\|_\infty$  is ( $\sigma$ -o.c.) on spaces  $c(S)$  for every uncountable sets  $S$  but  $\|\cdot\|_\infty \notin (\text{o.c.})$

the same holds for the quotient norm on  $E/F$  whenever  $E$  consists of sequences,  $F = \overline{\text{span}}\{e_n : n \in \mathbb{N}\}$  and  $F \neq E$

$$E_A = \{x \in E : |x| \geq x_\alpha \downarrow 0 \Rightarrow \|x_\alpha\| \rightarrow 0\}$$

$E_A$  is always a norm closed ideal (but it may happen  $E_A = \{0\}$ )

### Theorem

*If  $E_A$  is a proper order dense ideal in a  $\sigma$ -Dedekind complete Banach lattice  $E$ , then the quotient norm on  $E/E_A$  is  $\sigma$ -order continuous and the norm is not order continuous. Additionally  $E/E_A$  is continuous and none nonzero ideal in the quotient is  $\sigma$ -Dedekind complete.*

Characterizations of order continuity:

G.Ja. Lozanovskii – A  $\sigma$ -Dedekind complete Banach lattice has order continuous norm iff  $E$  does not contain any closed subspace isomorphic to  $\ell^\infty$  (equivalently:  $E$  does not contain any closed Riesz subspace order isomorphic to  $\ell^\infty$ )

D. Fremlin and P. Meyer-Nieberg:  $E$  has order continuous norm iff  $x_n \wedge x_m = 0$  and  $x_n \leq x \Rightarrow \|x_n\| \rightarrow 0$ .

Characterizations of  $\sigma$ -order continuity:

### Theorem

*For a Banach lattice  $E$  the following statements are equivalent.*

- (a)  $E \in (\sigma\text{-o.c.})$ .
- (b)  $E$  is order complete and  $E$  does not contain any closed  $\sigma$ -regular Riesz subspace order isomorphic to  $\ell^\infty$ .
- (c)  $E$  is order complete and if elements  $x_n \in E$ ,  $n \in \mathbb{N}$  are such that  $x_n \wedge x_m = 0$  and  $\sup_n x_n$  exists, then  $\|x_n\| \rightarrow 0$ .

Explanations:

$E$  is order complete means that every sequence  $(x_n) \subset E$  satisfying the order Cauchy condition:

$$\exists v_n \downarrow 0 \quad \forall n, k \quad |x_{n+k} - x_n| \leq v_n$$

is order convergent.

Examples:  $\sigma$ -Dedekind complete Banach lattices,  $\ell^\infty / c_0$  (it is not  $\sigma$ -Dedekind complete); the spaces  $C[0, 1]$  and  $c$  are not order complete.

A Riesz subspace  $F \subset E$  is  $\sigma$ -regular if every countable subset of  $F$  having an infimum (or a supremum) in  $F$  has the same infimum (supremum) in  $E$ .

Examples: ideals, order dense Riesz subspace; but  $\{(x_n) \in c_0 : x_{2n} = 0\} \oplus \mathbb{R}1_{\mathbb{N}}$  is not  $\sigma$ -regular in  $\ell^\infty$ .

Let us note that  $\ell^\infty / c_0$  contains many Riesz subspaces order isomorphic to  $\ell^\infty$  but none copy is  $\sigma$ -regular.

Problem: does every Banach space possess an unconditional basic sequence ? (No – W.T. Gowers and B. Maurey)

T. Figiel, J. Lindenstaruss, L. Tzafriri

### Theorem

*A Banach lattice  $E$  has an order continuous norm iff it is  $\sigma$ -Dedekind complete and every closed subspace of  $E$  has an unconditional basic sequence.*

Operator characterizations of the order continuity.

### Theorem

*For a Banach lattice  $E$  the following statements are equivalent.*

- (a)  $E$  has order continuous norm.*
- (b) If  $K$  is an arbitrary compact space and  $T : C(K) \rightarrow E$  is positive, then  $T$  is weakly compact.*
- (c)  $E$  is  $\sigma$ -Dedekind complete and every positive operator  $T : \ell^\infty \rightarrow E$  is weakly compact.*
- (d)  $E$  is  $\sigma$ -Dedekind complete and every Dunford-Pettis operator  $T : E \rightarrow c_0$  is order bounded.*

## Theorem

*For a Banach lattice  $E$  the following statements are equivalent.*

- (a)  $E^* \in (\text{o.c.})$ .
- (b) *Every Dunford-Pettis operator on  $E$  is weakly compact.*
- (c) *Every continuous operator  $T$  from  $E$  into a Banach space without any subspace isomorphic to  $c_0$  is weakly compact.*
- (d) *Every continuous operator  $T : E \rightarrow L^1(\mu)$  is weakly compact.*
- (e) *Every positive operator  $T : E \rightarrow L^1[0, 1]$  is weakly compact.*
- (f) *Every positive operator  $T : E \rightarrow \ell^1$  is compact.*
- (g) *Every continuous operator  $T : E \rightarrow E^*$  is weakly compact.*

Operator characterizations of the  $\sigma$ -order continuity.

C. Aliprantis, O. Burkinshaw, P. Kranz

### Theorem

*For a Banach lattice  $E$  the following statements are equivalent.*

- (a)  $E \in (\sigma\text{-o.c.})$ .
- (b) *If  $0 \leq T_n, T : E \rightarrow E$  satisfy  $T_n(x) \uparrow T(x)$  for each  $x \geq 0$ , then also  $T_n^2(x) \uparrow T^2(x)$ .*

J. Schur (1920):  $(x_n) \subset \ell^1$ ,  $x_n \xrightarrow{\sigma(\ell^1, \ell^\infty)} 0 \Rightarrow \|x_n\| \rightarrow 0$

Proof: gliding hump technique or the theory of basis argument

– if  $x_n \xrightarrow{\sigma(\ell^1, \ell^\infty)} 0$  and  $\|x_n\| \geq \varepsilon > 0 \Rightarrow \exists_{(n_k)} (x_{n_k}) \sim (e_k)_{\ell^1}$

$X$  has the Schur property ( $X \in (SP)$ ) if

$x_n \xrightarrow{\sigma(X, X^*)} 0 \Rightarrow \|x_n\| \rightarrow 0$

## Examples.

1.  $\ell^1(\Gamma) \in (\text{SP})$  for every set  $\Gamma$ .

2.  $X_n \in (\text{SP}) \Rightarrow (\bigoplus X_n)_{\ell^1} \in (\text{SP})$ ,

in particular  $X = (\bigoplus \ell_n^2)_{\ell^1} \in (\text{SP})$ , but  $X \not\approx \ell^1$  because  $X^*$  contains a complemented copy of  $\ell^2$ .

3. Consider a weighted Orlicz sequence space  $\ell^\varphi(a_n)$  generated by a convex function  $\varphi$  satisfying two conditions:

$\lim_{u \rightarrow 0} \frac{\varphi(u)}{u} = 0$ ,  $\lim_{u \rightarrow \infty} \frac{\varphi(u)}{u} = \infty$  and let

$(a_n) \in \ell_{++}^1 = \{(c_n) \in \ell^1 : \forall n \ c_n > 0\}$ . If  $\lim_{u \rightarrow \infty} \frac{\varphi^*(2u)}{\varphi^*(u)} = \infty$ , then  $\ell^\varphi(a_n) \in (\text{SP})$ .

$W_\varphi = \{(b_n) \in \ell_{++}^1 : \ell^\varphi(b_n) \sim \ell^1\}$  is of the first category in  $\ell_{++}^1$  and  $\ell_{++}^1$  is a dense  $G_\delta$  set in  $\ell_+^1$ . Hence  $\ell_{++}^1 \setminus W_\varphi \neq \emptyset$ .

Conclusion: for every  $\varphi$  there exists a lot  $(a_n)$  such that  $\ell^\varphi(a_n) \in (\text{SP})$  and  $\ell^\varphi(a_n) \not\approx \ell^1$ .

4. Nakano sequence space  $\ell^{(p_n)}$ ,  $p_n \in [1, \infty)$ .

I. Halperin and H. Nakano (1953):  $\ell^{(p_n)} \in (\text{SP})$  iff  $p_n \rightarrow 1$

If  $(1 - \frac{1}{p_n}) \log n \rightarrow \infty$ , then  $\ell^{(p_n)} \approx \ell^1$ .

5. R. Ryan (1987) –  $L(X, Y) \in (\text{SP})$  iff  $X^*, Y \in (\text{SP})$ .

$(\oplus \ell_n^\infty)_{\ell^1}$  is not isomorphic to any subspace of  $\ell^1 \Rightarrow$

$L(c_0, (\oplus \ell_n^\infty)_{\ell^1}) \approx \ell^1(\Gamma)$  for every  $\Gamma$  because  $L(X, Y)$  contains  $Y$  isometrically.

## Theorem

- (a)  $E \in (\text{SP})$ .
- (b) *If  $\mu$  is an arbitrary measure, then every weakly compact operator  $T : L^1(\mu) \rightarrow E$  is compact.*
- (c) *Every positive weakly compact operator  $T : \ell^1 \rightarrow E$  is compact.*
- (d)  *$E$  has order continuous norm and every continuous linear operator  $T : E \rightarrow c_0$  is Dunford-Pettis (=  $T$  maps weak null sequences into norm null).*

Every Banach lattice possessing the Schur property is a dual space.

### Theorem

*For a Banach lattice  $E$  the following statements are equivalent.*

- (a)  $E^* \in (\text{SP})$ .
- (b) *Every weakly compact operator on  $E$  is compact.*
- (c) *Every weakly compact operator  $T : E \rightarrow c_0$  is compact.*
- (d) *If  $F$  is a Banach lattice with order continuous norm and  $T : E \rightarrow F$  is weakly compact, then  $T$  is  $L$ -weakly compact.*

$E$  has the positive Schur property ( $E \in (\text{PSP})$ ) whenever

$$0 \leq x_n \xrightarrow{\sigma(E, E^*)} 0 \Rightarrow \|x_n\| \rightarrow 0$$

Useful characterization:

$$E \in (\text{PSP}) \Leftrightarrow (x_k \wedge x_m = 0, x_n \xrightarrow{\sigma(E, E^*)} 0 \Rightarrow \|x_n\| \rightarrow 0)$$

If  $E$  is **discrete**, then  $E \in (\text{PSP}) \Leftrightarrow E \in (\text{SP})$ .

## Theorem

*For a Banach lattice  $E$  the following statements are equivalent.*

- (a)  $E \in (\text{PSP})$ .
- (b) *Every normalized sequence of pairwise disjoint positive elements contains a subsequence equivalent to the unit vector basis in  $\ell^1$  (and so  $E$  is saturated by order copies of  $\ell^1$ ).*
- (c)  *$E$  is  $\sigma$ -Dedekind complete and an operator  $T : E \rightarrow c_0$  is a Dunford-Pettis operator iff  $T$  is regular*

Josefson-Nissenzweig theorem –

$\dim X = \infty \Rightarrow \exists_{(f_n) \in X^*} \|f_n\| = 1$  and  $f_n \xrightarrow{\sigma(X^*, X)} 0$ .

But if  $0 \leq f_n \xrightarrow{\sigma((C(K))^*, C(K))} 0$  and  $\mu_n$  are regular Borel measures representing  $f_n$ , then  $\|f_n\| = \int_K 1 \, d\mu_n = f_n(1) \rightarrow 0$ .

B. Aqzzouz, A. Elbour, A. Wickstead (2010) –  $E$  has the dual positive Schur property ( $E \in (\text{DPSP})$ ) if

$0 \leq f_n \xrightarrow{\sigma(E^*, E)} 0 \Rightarrow \|f_n\| \rightarrow 0$ .

$E \in (\text{DPSP}) \Rightarrow E$  has the positive Grothendieck property

( $E \in (\text{PGP})$ ), i.e.,  $0 \leq f_n \xrightarrow{\sigma(E^*, E)} 0 \Rightarrow f_n \xrightarrow{\sigma(E^*, E^{**})} 0$

$E \in (\text{DPSP}) \Leftrightarrow E \in (\text{PGP})$  and  $E^* \in (\text{PSP}) \Leftrightarrow$  every  $0 \leq T : E \rightarrow c_0$  is compact

Examples.

1.

### Theorem

*For an AM-space  $E$  ( $= E$  is order isomorphic and isometric to a closed sublattice in some  $C(K)$ ) the following statements are equivalent.*

- $E \in (\text{DPSP})$ .
- $E \in (\text{PGP})$ .
- $E$  does not contain any positively complemented order copy of  $c_0$ .

2. If  $L^\varphi(\mu)$  is an Orlicz space, then

$L^\varphi(\mu) \in (\text{DPSP}) \Leftrightarrow L^{\varphi^*}(\mu) \in (\text{PSP})$ . Moreover

$L^\varphi(\mu) \in (\text{DPSP}) \Rightarrow (L^\varphi(\mu))^{**} \in (\text{DPSP}), (L^\varphi(\mu))^{****} \in (\text{DPSP}),$   
 $\dots, (L^\varphi(\mu))^{(2n)} \in (\text{DPSP})$

For a finite measure  $\mu$  and an Orlicz function  $\varphi$  satisfying

$\lim_{u \rightarrow \infty} \frac{\varphi(2u)}{\varphi(u)} = \infty$  we obtain  $L^\varphi(\mu) \in (\text{DPSP})$ .

3. Let  $1 \leq q_n \rightarrow \infty$  and let  $\varphi_n(u) = \frac{1}{q_n} u^{q_n}$ . Then

$\ell^{(\varphi_n)} \in (\text{DPSP}), (\ell^{(\varphi_n)})^{**} \in (\text{DPSP}), (\ell^{(\varphi_n)})^{****} \in (\text{DPSP}), \dots,$   
 $(\ell^{(\varphi_n)})^{(2n)} \in (\text{DPSP})$  and

$(\bigoplus \ell_n^{q_n})_{\ell^\infty} \in (\text{DPSP}), ((\bigoplus \ell_n^{q_n})_{\ell^\infty})^{**} \in (\text{DPSP}),$

$((\bigoplus \ell_n^{q_n})_{\ell^\infty})^{****} \in (\text{DPSP}), \dots, ((\bigoplus \ell_n^{q_n})_{\ell^\infty})^{(2n)} \in (\text{DPSP})$

## Theorem

*For a Banach lattice  $E$  the following statements are equivalent.*

- (a)  $E \in (\text{DPSP})$ .
- (b) *If  $f_m \wedge f_k = 0$  and  $f_n \xrightarrow{\sigma(E^*, E)} 0$ , then  $\|f_n\| \rightarrow 0$ .*
- (c) *Every order weakly compact operator on  $E$  is M-weakly compact.*
- (d) *Every positive weakly compact operator  $T : E \rightarrow F$  is semi-compact*  
*(i.e.,  $\forall \varepsilon > 0 \exists 0 \leq y \in F \ T(B_E(1)) \subset [-y, y] + \varepsilon B_F(1)$ ).*
- (e) *If  $F$  is a discrete Banach lattice with order continuous norm, then every positive operator  $T : E \rightarrow F$  is compact.*

The word “discrete” can not be rejected in the last statement.

The last statement formulated in the theorem motivates considerations of the following property of a Banach lattice  $E$ .

*(\*) If  $F$  is a Banach lattice with order continuous norm and  $T : E \rightarrow F$  is positive, then  $T$  is compact.*

It is a surprise that  $\sigma$ -Dedekind complete Banach lattices  $E$  satisfying (\*) are finite dimensional. On the other hand there exist spaces  $C(K)$  satisfying (\*) and we can characterize them.

## Theorem

For a space  $E = C(K)$  the following statements are equivalent.

- (a)  $E^*$  is order isomorphic to  $\ell^1(\Gamma)$  for some set  $\Gamma$ .
- (b)  $E$  does not contain any closed subspace isomorphic (i.e., linearly homeomorphic) to  $\ell^1$ .
- (c)  $E$  satisfies (\*).
- (d) Every positive operator  $T : E \rightarrow (\ell^\infty)^*$  is compact.

If  $K$  is a countable compact space then  $C(K)$  satisfies (\*) because  $(C(K))^*$  is isomorphic to  $\ell^1$ .