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A-realcompact spaces.

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Abstract

Relations between homomorphisms on a real function algebra and different properties (such as being inverse-closed and closed under bounded inversion) are studied.

1 Introduction and notation

By a function algebra A on X we mean a family of real-valued functions on X such that: 1) A is a linear algebra with unit under operations defined pointwise, 2) A separates points on X and 3) A is closed under bounded inversion, that is, if $f \in A$ and $f \geq 1$, then $\frac{1}{f} \in A$. We denote by Hom(A) the family of all A-homomorphisms, that is, non null multiplicative real linear functionals on A, endowed with the Gelfand topology.

Hom(A) has been intensively studied when X is a completely regular Hausdorff space and A is C(X) (see [12]). In recent years different papers have been devoted to study homomorphisms on some subalgebras of C(X), for example algebras of differentiable functions have been considered in [1]-[5], [14] and [15]. As can be seen in the quoted papers, in studying function algebras frequently one needs results asserting that a homomorphism is the evaluation at some point of the supporting space. This paper is devoted to elaborate a general theory related with this subject.

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2 Single-set evaluating algebras and A-realcompactness

- 2.1.- Let X be a completely regular Hausdorff space, $Y \subset X$ and $f: Y \to \mathbb{R}$ a continuous map. If f has a continuous extension to $p \in X \setminus Y$, this extension will be denoted by $\hat{f}(p)$. For $f: X \to \mathbb{R}$, $Z(f) = \{x \in X : f(x) = 0\}$. A set $S \subset Y$ is a zero set if there exists $g \in C(Y)$ such that S = Z(g) and \overline{S}^X is the closure of S in X. As usual βX denotes the Stone-Ĉech compactification of X.
- 2.2.- The elements of any function algebra can be considered as uniformly continuous functions on X in the following sense. Denote by A_b the subalgebra of all bounded functions in A. Let U_A be the uniformity generated on X by A_b , that is U_A is defined by the pseudometrics

$$d_f(x,y) = |f(x) - f(y)|; f \in A_b, x, y \in X.$$

Let τ_A denote the topology induced by U_A on X. Since A separates points in X, (X, τ_A) is a completely regular Hausdorff space. All topological notions on X are assumed in the τ_A topology.

Denote by X_A the completion of the uniform space (X, U_A) , then X_A is a compact Hausdorff space and X can be considered as a dense subspace of X_A . It is known that each $f \in A_b$ has a unique continuous extension \hat{f} to X_A . Set $\hat{A} = \{\hat{f} : f \in A_b\}$. \hat{A} separates points in X_A ([7]) then, by the Stone-Weierstrass theorem, \hat{A} is a dense subspace of $C(X_A)$ in the uniform norm.

2.3.- The following result from [7] will be used in the sequel:

Theorem. Let A be a function algebra on X, then

- (a) $\varphi \in Hom(A_b)$ if and only if there exists a (unique) $p \in X_A$ such that $\varphi(f) = \hat{f}(p)$ for every $f \in A$. Moreover X_A is (homeomorphic to) the maximal ideal space of A_b ;
- (b) $\varphi \in Hom(A)$ if and only if there exists a (unique) point $p \in X_A$ such that, every $f \in A$ has a finite continuous extension $\hat{f}(p)$ to p and $\varphi(f) = \hat{f}(p)$. The set I(A) of all such p, with the topology induced by X_A , is (homeomorphic to) the maximal ideal space of A.

2.4.- In what follows we associate to a given function algebra A the spaces X_A and I(A) defined above. Moreover, we identify Hom(A) with I(A) and X with a (dense) subset of X_A . Thus we have the inclusions,

$$X \subset I(A) \subset X_A$$
.

In studying properties of homomorphisms it is important to have conditions to recognize points in $I(A) \setminus X$. It is easy to verify that for a point $p \in X_A \setminus X$ the following assertions are equivalents:

- (a) $p \in I(A)$;
- (b) for every $f \in A$, there exists a net $\{x_{\lambda}\}$ in X such that $x_{\lambda} \to p$ and $f(x_{\lambda})$ is bounded;
- (c) for every $f \in A$, there exists a neighbourhood V of p in X_A such that $f(V \cap X)$ is bounded.
- 2.5.- We need some definitions: a function algebra A on X is called single-set evaluating if, for every $\varphi \in A$ and each $f \in A$, there exists $x \in X$ such that $\varphi(f) = f(x)$. A is called inverse-closed if for every $f \in A$ such that $Z(f) = \emptyset$, $\frac{1}{f} \in A$. It is easy to prove that inverse-closed algebras are single-set evaluating. There exist single-set evaluating algebras which are not inverse-closed [6].
- 2.6.- Given a nonempty set X, (A, B) is called a *subordinated pair* [7] on X if: i) A and B are function algebras on X; ii) $B \subset A$; iii) every homomorphism on B has an extension to a homomorphism on A.
- 2.7.- Theorem. For a function algebra A on X the following conditions are equivalent:
 - (a) A is single-set evaluating;
 - (b) For all $p \in I(A) \setminus X$, if $f \in A$ and $0 < f \le 1$, then $\hat{f}(p) \ne 0$;
 - (c) (RA,A) is a sudordinated pair, where RA the smallest inverseclosed algebra on X containing A.

Proof.

- i) Suppose that (a) holds but (b) does not. Fix $p \in I(A) \setminus X$ and $h \in A$ such that $0 < h \le 1$ and $\hat{h}(p) = 0$. Since evaluation at p is a homomorphism on A, A is not single-set evaluating.
- ii) Suppose that (b) holds and A is not single-set evaluating. Take $\varphi \in Hom(A)$, $p \in I(A)$ and $k \in A$ such that $\varphi(g) = \hat{g}(p)$ for every $g \in A$ and $\varphi(k) \neq k(x)$ for all $x \in X$. Set $h(x) = (k(x) \varphi(k))^2$ and $f(x) = \frac{h(x)}{1+h(x)}$. Then $\hat{f}(p) = \varphi(f) = 0$ and $0 < f(x) \le 1$. This contradicts (b).
- iii) For (a) implies (c) see lemma 16 of [6].
- iv) Since RA is inverse-closed it is single-set evaluating. If (RA, A) is a subordinated pair, then A is single-set evaluating.
- 2.8.- Recall that a completely regular Hausdorff space Y is realcompact [12] if every C(Y)-homomorphism is the evaluation at some point p in Y. This concept can be generalized in the following way: if A is a function algebra on X, X is said to be A-realcompact if every A-homomorphism is the evaluation at some point p of X. A similar notion was used in [8], [16] and [17].

2.9.- Remarks.

- 1) If $A_b = A$, then X is A-realcompact if and only if X is compact (in the τ_A topology). When $X_A \setminus X \neq \emptyset$ we can obtain A-realcompactness only when A contains an unbounded function. In particular if (X, τ) is a pseudocompact noncompact, completely regular Hausdorff space and A = C(X), then X is not A-realcompact.
- 2) Notice that if A and B are function algebras on X, $B \subset A$, with X A-realcompact, then X is B-realcompact if and only if (A, B) is a subordinated pair.
- 2.10.- Proposition. Let A and B be function algebras on X with B uniformly dense in A. Then (A, B) is a subordinated pair.

Proof. Since B_b is uniformly dense in A_b , the spaces $C(X_A)$ and $C(X_B)$ are isomorphic, thus by the Banach-Stone theorem (see [12]) X_A and X_B are homeomorphic. We may identify X_A and X_B . Fix a homomorphism φ on B and a point $p \in X_A$ such that for every $f \in B$, $\varphi(f) = \hat{f}(p)$. We will finish our proof by showing that every $g \in A$ has a (unique) continuous finite extension to p. Fix $g \in A$ and $f \in B$ such that $\sup_{x \in X} |f(x) - g(x)| \le 1$. There exist a neighbourhood V of p in X_A and a positive constant M such that for every $g \in V \cap X$, $|f(g)| \le M$. Then for every $g \in V \cap X$, $|g(g)| \le M + 1$, now the assertion follows from 2.4.

In [10] (proposition 1.8) was proved the following fact: if X is a realcompact space and $A \subset C(X)$ is a subalgebra with unit, closed under bounded inversion, uniformly dense in C(X), then Hom(A) = X. Our next result, as an application of proposition 2.10 (see remark 2.9.2), provides a natural extension.

2.11.- Corollary. Let A and B be function algebras on X, $B \subset A$. If B is uniformly dense in A and X is A-realcompact, then X is B-realcompact.

2.12.- **Theorem.** Let A be a single-set evaluating algebra on X. Then X is A-realcompact if and only X is RA-realcompact (see (c) in 2.7). Moreover if A is inverse-closed, then X is A-realcompact if and only if for every $p \in X_A \setminus X$, there exists

$$f \in A_b$$
, $0 < f \le 1$, such that $\hat{f}(p) = 0$. (1)

Proof. The first part follows from theorem 2.7, the remark 2) in 2.9 and the construction of RA.

For the second part suppose first that X is A-realcompact. Suppose that $p \in X_A \setminus X$. Taking into account that $p \notin I(A) = X$, there exists $f \in A \setminus A_b$ such that for every net $\{x_\lambda\}$ in X, with $x_\lambda \to p$, $f(x_\lambda)$ is unbounded (see the last assertion in 2.4). Then $\hat{h}(p) = 0$ and $0 < h(x) \le 1$ for $x \in X$, where $h(x) = \frac{1+f^2(x)}{1+f^4(x)}$.

Suppose now that for all $p \in X_A \setminus X$ there exists $f \in A$ such that $0 < f \le 1$ and $\hat{f}(p) = 0$. By defining $g(x) = \frac{1}{f(x)}$, we have that $g \in A$

and for every net $\{x_{\lambda}\}$ in X, $x_{\lambda} \to p$, $\{g(x_{\lambda})\}$ is not bounded. This completes the proof.

- 2.13.- Remark. In general condition (1) does not imply A-real compactness. For example, let X be the real interval (0,1] and A the restriction of continuous functions in [0,1] to (0,1]. In this case the condition holds but X is not A-real compact (notice that $X_A = [0,1]$).
- 2.14.- Theorem. Let A be a function algebra. Then X_A is the Stone-Ĉech compactification of X if and only if for any disjoint zero sets S and T in X, there exists $f \in A$, such that

$$0 < f < 1, \quad f(S) = \{0\} \text{ and } \quad f(T) = \{1\}.$$
 (2)

Proof. If A satisfies (2) by theorem 11 of [11], A_b is uniformly dense in the space $C_b(X)$ of all real continuous bounded functions on X, then $\beta X = X_A$.

On the other hand if $\beta X = X_A$, A_b is dense in $C_b(X)$ and the result follows again from theorem 11 of [11].

From theorems 2.12 and 2.14 we obtain a proof of the following result due to S. Mrówka (proposition 3.11.10 in [9]).

2.15.- Corollary. Let X be a completely regular Hausdorff space. Then X is realcompact if and only if for every $p \in \beta X \setminus X$, there exists $f \in C(X)$ such that $0 < f(x) \le 1$, $x \in X$, and $\hat{f}(p) = 0$.

The next result extends Theorem 2 of [15]. Jaramillo presented in [15] different examples of functions algebras for which Theorem 2.16 may be applied.

- 2.16.-Theorem. Let us suppose that a function algebra A on X satisfies the following conditions:
 - (a) for every $f, g \in A$ and $\rho, \epsilon > 0$, if the sets

$$P_{\epsilon}(f) = \{x : | f(x) | \leq \epsilon \} \text{ and } Q_{\rho}(g) = \{x : | g(x) | \geq \rho \}$$

are not empty and disjoint, there exists $h \in A$, $0 \le h \le 1$, such that

$$h(P_{\epsilon}(f)) = \{0\} \text{ and } h(Q_{\rho}(g)) = \{1\};$$

- (b) given an open (in the τ_A topology) cover $\{H_n\}$ of X, such that $\overline{H_n} \subset H_{n+1}$, and $f: X \to \mathbf{R}$, if there exists a sequence f_n in A such that $f_n \mid_{H_n} = f \mid_{H_n}$, then $f \in A$;
- (c) for every $p \in X_A \setminus X$ there exists $g \in C(X_A)$ which satisfies (1). Then X is A-realcompact.

Proof. Let φ be a homomorphism on A. There exists $p \in X_A$ such that $\varphi(f) = \hat{f}(p)$ for every $f \in A$. We will show that $p \in X$.

Suppose that $p \in X_A \setminus X$, take $g \in C(X_A)$ such that $0 < g \le 1$ and $\hat{g}(p) = 0$. Set

$$E_n = \{x \in X_A : g(x) > \frac{1}{2^n}\}, \ n = 1, 2, ...$$

We may suppose that each E_n is not empty. Since \hat{A} is dense in $C(X_A)$, there exists a sequence $\{f_n\}$ in A_b such that

$$||\hat{f}_n - g||_{\infty} \le \frac{1}{2^{n+3}} \text{ and } ||\hat{f}_n - \hat{f}_{n+1}||_{\infty} \le \frac{1}{2^{n+3}},$$

where $|| \cdot ||_{\infty}$ denotes the sup norm in $C(X_A)$. Set

$$F_n = \{x \in X_A : | \hat{f}_n(x) | \geq \frac{1}{2^n} \}.$$

It is easy to prove that for $n \geq 2$, $E_{n-1} \subset F_n \subset E_{n+1}$.

Now we have that $(X \cap \bigcup_{n \in I\!\!N} E_n) = \bigcap X \bigcup_{n \in I\!\!N} F_n$, thus $\{F_{2n} \cap X\}$ is an increasing open cover of X. For each $n \geq 2$ take $g_n \in A$, $0 \leq g_n \leq 1$, such that

$$g_n(F_{2n+2}^c \cap X) = \{1\} \text{ and } g_n(\overline{F_{2n}} \cap X) = \{0\}.$$

Notice that $\hat{g}_n(p) = 1$, thus $\varphi(\hat{g}_n) = 1$. The function $f(x) = \sum_{n=2}^{\infty} g_n(x)$, $x \in X$ is well defined. Set $k_n(x) = \sum_{j=2}^{n} g_j(x)$. Since $k_n \in A$, $f \in A$.

It is easy to see that for every $x \in X$ and each n, $k_n(x) \leq f(x)$, then $\varphi(f) \geq \varphi(k_n) = \sum_{j=1}^n \varphi(g_j) = n$ (see 1.4 of [13]), this says that $\varphi(f) = \infty$, a contradiction.

- 2.17.- Theorem 2.3 gives a representation of the real maximal ideal of A but, as the following result will prove, we can not expect to obtain a one to one relation between z-ultrafilters and maximal ideals. The notion on z-filter is used as in [12]. An ideal in A is a proper ideal. For an ideal I, $Z(I) = \{Z(f) : f \in I\}$. If J is a z-filter $J_A^{-1} = \{f \in A : Z(f) \in J\}$.
- 2.18.- **Theorem.** Let A be a function algebra which satisfies (2). The following assertion are equivalent:
 - (a) for each maximal ideal I in A, there exists $p \in \beta X$ such that

$$I = \{ f \in A : p \in \overline{Z(f)}^{\beta X} \}.$$

- (b) for each maximal ideal I in A, there exists a maximal ideal J in C(X) such that $I \subset J$;
- (c) for each maximal ideal I in A, Z(I) is a z-ultrafilter;
- (d) A is inverse-closed.

Proof. Since A satisfies (2), for every zero set P in X there exists $f \in A$ such that Z(f) = P.

The assertions (a) implies (b) and (b) implies (a) follow directly from the Gelfand-Kolmogorov theorem ([12], 7.3).

- (b) implies (c) Fix maximal ideals I and J in A and C(X) respectively, with $I \subset J$. $Z_A^{-1}(Z(J))$ is an ideal in A. Therefore, $I = Z_A^{-1}(Z(J))$. Since Z(I) = Z(J), Z(I) is a z-ultrafilter.
- (c) implies (b) Fix a maximal ideal I in A, since Z(I) is a z-ultrafilter $J = \{f \in C(X) : Z(f) \in Z(I)\}$ is a maximal ideal in C(X) containing I.
- (c) implies (d) Take $f \in A$ such that $Z(f) = \emptyset$ and set $I = \{gf : g \in A\}$. Since $f \in I$, I can not be an ideal, therefore I = A.
- (d) implies (c) Fix an ideal I in A. Since A is inverse closed $\emptyset \notin Z(I)$. On the other hand, if $f, g \in I$ and $h \in A$, $Z(f^2 + g^2) = Z(f) \cap Z(g)$ and $Z(f) \subset Z(fg) = Z(g)$.

3 The sequentially evaluating property

- 3.1.- A function algebra A on X is called sequentially evaluating if, for every $\varphi \in Hom(A)$ and each sequence $\{f_n\}$ in A, there exists $x \in X$ such that $\varphi(f_n) = f_n(x)$, for n = 1, 2, ... This property has been intensively studied in [2]. As far as we know the use of this property goes back to S. Mazur (see the note to statement A of [8]). If a function algebra A on X has the sequentially evaluating property, then every homomorphism on A is sequentially continuous on A_p , where A_p is the algebra A endowed with the pointwise convergence topology. This fact was noticed for some particular algebras in [2] and [6].
- 3.2.- Denote by $[A \bigcup C(X_A)]$ the closed under bounded inversion algebra on X generated by A and $C(X_A)$. By setting

$$A_1 := \{ \sum_{k=1}^n f_k g_k : f_k \in A, g_k \in C(X_A), n \in \mathbf{N} \},$$

we have that $[A \cup C(X_A)] = \{h_1/h_2 : h_1, h_2 \in A_1, h_2 \ge 1\}.$

- 3.3.- **Theorem.** Let A be a single-set evaluating algebra on X. The following conditions are equivalent:
 - (a) A has the sequentially evaluating property.
 - (b) Each zero set in $X_A \setminus X$ does not meet I(A).
 - (c) $[A \bigcup C(X_A)]$ is single-set evaluating.
- **Proof.** Suppose that (a) holds and (b) fails, then there exists a zero set $P \subset X_A \setminus X$ such that $P \cap I(A) \neq \emptyset$. Fix $q \in P \cap I(A)$ and let φ be the evaluation at q. Since P is a zero set, there exists $f \in C(X_A)$ such that P = Z(f). Since \hat{A} is dense in $C(X_A)$ for the uniform norm, there exists $\{f_n\}$ in A_b , with $\hat{f}_n \to f$ uniformly on X_A . We have that $\varphi(f_n) = \hat{f}_n(q) \to f(q) = 0$. Set $g_n = f_n \varphi(f_n) \in A_b$. According to the above arguments $\hat{g}_n \to f$ uniformly on X_A and $\varphi(g_n) = 0$. By the sequentially evaluating property there exists $x_0 \in X$ such that $\varphi(g_n) = g_n(x_0) = 0$. This says that $\lim_n g_n(x_0) = f(x_0) = 0$ and we have a contradiction.
- (b) implies (c) Suppose that (b) holds and let φ be a homomorphism on $[A \cup C(X_A)]$. We will prove that for each $h \in [A \cup C(X_A)]$,

 $Z(h-\varphi(h)) \neq \emptyset$. Since φ is a homomorphism on A $(C(X_A))$, there exists $p \in I(A)$ $(q \in C(X_A))$ such that, for each $f \in A$ $(g \in C(X_A))$ $\varphi(f) = \hat{f}(p)$ $(\varphi(g) = \hat{g}(q))$. Since $A_b \subset A \cap C(X_A)$, for each $f \in A_b$, $\hat{f}(p) = \hat{f}(q)$. Taking into account that \hat{A} separates points in X_A , we have that p = q. Now if $f \in (A \cup C(X_A))$, set $g_f = f - \varphi(f)$. If $Z(g) \cap X = \emptyset$, then $Z(g) \cap I(A) = \emptyset$ and this is not possible $(p \in Z(g) \cap I(A))$.

Since for every $f \in A$, $\frac{(f-\varphi(f))^2}{1+(f-\varphi(f))^2}$ has a continuous extension to X_A , we have that for any $h \in A_1$ (see 3.2), $Z(h-\varphi(h)) \neq \emptyset$. In fact, if $f_1, ..., f_n \in A$ and $g_1, ..., g_n \in C(X_A)$,

$$\emptyset \neq Z\left(\sum_{k=1}^{n} \frac{(f_k - \varphi(f_k))^2}{1 + (f_k - \varphi(f_k))^2} + (g_k - \varphi(g_k))^2\right)$$

$$\subset Z\left(\sum_{k=1}^{n} (f_k - \varphi(f_k))g_k + \varphi(f_k)(g_k - \varphi(g_k))\right)$$

$$= Z\left(\sum_{k=1}^{n} f_k g_k - \varphi(\sum_{k=1}^{n} f_k g_k)\right)$$

Now if $h_1, h_2 \in A_1$ with $h_2 \ge 1$, then

$$\begin{split} Z(\frac{h_1}{h_2} - \varphi(\frac{h_1}{h_2})) &= Z(\varphi(h_2)h_1 - \varphi(h_1)h_2) \\ &= Z(\varphi(h_2)h_1 - \varphi(h_1)h_2 - \varphi(\varphi(h_2)h_1 - \varphi(h_1)h_2)) \neq \emptyset. \end{split}$$

(c) implies (a) Suppose that $[A \bigcup C(X_A)]$ is single-set evaluating. Fix $\psi \in Hom(A)$. There exists $p \in I(A)$ such that, for each $f \in A$, $\psi(f) = \hat{f}(p)$. Let us prove that ψ may be extended to a homomorphism φ on $[A \bigcup C(X_A)]$. It is sufficient to prove that every function $h \in [A \bigcup C(X_A)]$ has a (unique) continuous extension to p.

Suppose first that $h = \sum_{k=1}^{n} f_k g_k$, with $f_k \in A$ and $g_k \in C(X_A)$, for k = 1, 2, ..., n. Set $\hat{h}(p) = \sum_{k=1}^{n} \hat{f}_k(p) \hat{g}_k(p)$. We have that, for any net $\{x_{\lambda}\}_{{\lambda} \in {\Lambda}}$ in X, such that $x_{\lambda} \to p$ in X_A ,

$$\lim_{\lambda} h(x_{\lambda}) = \sum_{k=1}^{n} \lim_{\lambda} f_k(x_{\lambda}) \lim_{\lambda} g_k(x_{\lambda}) = \sum_{k=1}^{n} \hat{f}_k(p) \hat{g}_k(p) = \hat{h}(p).$$

Finally, if $h = \frac{h_1}{h_2} \in [A \cup C(X_A)]$. with $h_1, h_2 \in A_1$ ($h_2 \ge 1$), set $\hat{h}(p) = \frac{\hat{h_1}(p)}{\hat{h_2}(p)}$. Then, by defining $\varphi(h) = \hat{h}(p)$ for $h \in [A \cup C(X_A)]$, we have that $\varphi \in Hom([A \cup C(X_A)])$ and $\varphi(f) = \psi(f)$ for $f \in A$.

Now, fix a sequence $\{f_n\}$ in A. Set $g_n(x) = \frac{1}{2^n} \frac{(f_n(x) - \varphi(f_n))^2}{1 + (f_n(x) - \varphi(f_n))^2}$ and $g = \sum_{n=1}^{\infty} g_n$. We have that $\hat{g} \in C(X_A)$. Let us prove that $\varphi(g) = 0$. In fact, notice that the sequence $\{\sum_{k=1}^n g_k\}$ converges uniformly to g and $\sum_{k=1}^n g_k \leq g$. Then, given $\epsilon > 0$ and n such that $||\sum_{k=1}^n g_k - g||_{\infty} < \epsilon$, it follows that

$$0 = \varphi(\sum_{k=1}^n g_k) \le \varphi(g) = \varphi(g - \sum_{k=1}^n g_k) \le \epsilon \varphi(1) = \epsilon.$$

Taking into account that $[A \bigcup C(X_A)]$ is single-set evaluating, there exist $x_0 \in X$ such that $0 = \varphi(g) = g(x_0)$. Therefore $\varphi(f_n) = f_n(x_0)$ for each n.

3.4.- Remark. If A is an inverse-closed algebra on X closed under the uniform convergence, then $[A \cup C(X_A)] = A$, and A has the sequential evaluating property. This assertion can be obtained from the result of S. Mazur quoted in [8] and gives a proof of following fact: X need not be A-realcompact when A is a sequentially evaluating algebra on X. For certain class of algebras the sequentially evaluating property implies A-realcompactness (for example if X is a Lindelöf space in the τ_A topology), this just was the main reason for studying this property in [2].

The last proposition in this section can be proved as theorem 2.16.

3.5.- Proposition. If a function algebra A satisfies conditions (a) and (b) in theorem 2.16 then A has the sequentially evaluating property.

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