

TREE STRUCTURE ON THE SET OF MULTIPLICATIVE SEMI-NORMS OF KRASNER ALGEBRAS $H(D)$

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Abstract

Let \mathbb{K} be an algebraically closed field, complete for an ultrametric absolute value, let D be an infinite subset of \mathbb{K} and let $H(D)$ be the set of analytic elements on D [7]. We denote by $\text{Mult}(H(D), \mathcal{U}_D)$ the set of semi-norms ψ of the \mathbb{K} -vector space $H(D)$ which are continuous with respect to the topology of uniform convergence on D and which satisfy further $\psi(fg) = \psi(f)\psi(g)$ whenever $f, g \in H(D)$ such that $fg \in H(D)$. This set is provided with the topology of simple convergence. By the way of a metric topology thinner than the simple convergence, we establish the equivalence between the connectedness of $\text{Mult}(H(D), \mathcal{U}_D)$, the arc-connectedness of $\text{Mult}(H(D), \mathcal{U}_D)$ and the infraconnectedness of D . This generalizes a result of Berkovich given on affinoid algebras [2]. Next, we study the filter of neighbourhoods of an element of $\text{Mult}(H(D), \mathcal{U}_D)$, and we give a condition on the field \mathbb{K} such that this filter admits a countable basis. We also prove the local arc-connectedness of $\text{Mult}(H(D), \mathcal{U}_D)$ when D is infraconnected. Finally, we study the metrizable of the topology of simple convergence on $\text{Mult}(H(D), \mathcal{U}_D)$ and we give some conditions to have an equivalence with the metric topology defined above. The fundamental tool in this survey consists of circular filters.

Throughout this paper, \mathbb{K} will denote an algebraically closed field which is complete for a non-trivial ultrametric absolute value denoted by $|\cdot|$. We also denote by $|\cdot|_\infty$ the classical absolute value of \mathbb{R} .

1 Preliminaries

Definitions and notation: Let $a \in \mathbb{K}$ and $r, r' > 0$ with $r < r'$. We

denote by $d(a, r)$ the *circumferenced disk* $\{x \in \mathbb{K} \mid |a - x| \leq r\}$, by $d(a, r^-)$ the *non-circumferenced disk* $\{x \in \mathbb{K} \mid |a - x| < r\}$, by $C(a, r)$ the circle $\{x \in \mathbb{K} \mid |a - x| = r\}$, by $\Gamma(a, r, r')$ the *non-circumferenced annulus* $\{x \in \mathbb{K} \mid r < |a - x| < r'\}$, and by $\Delta(a, r, r')$ the *circumferenced annulus* $\{x \in \mathbb{K} \mid r \leq |a - x| \leq r'\}$. We put $|\mathbb{K}| = \{|x| \mid x \in \mathbb{K}\}$ and we denote by \mathbb{k} the *residue class field* $d(0, 1)/d(0, 1^-)$. The field \mathbb{K} will be said to be *weakly valued* if both $|\mathbb{K}|$ and \mathbb{k} are countable. Else \mathbb{K} will be said to be *strongly valued*.

In any topological space E , the closure of a subset A is denoted by \bar{A} , and the interior is denoted by $\overset{\circ}{A}$.

Let D be an infinite subset of \mathbb{K} . We denote by \tilde{D} the smallest circumferenced disk which contains D . We call *holes* of D the maximal non-circumferenced disks of $\tilde{D} \setminus \bar{D}$. The set of holes of D forms a partition of $\tilde{D} \setminus \bar{D}$, [7]. We write $R(D)$ the \mathbb{K} -subalgebra of \mathbb{K}^D of the rational functions with no poles in D . We denote by $H(D)$ the completion of $R(D)$ for the topology \mathcal{U}_D of uniform convergence on D . The elements of $H(D)$ are called the *analytic elements on D* [4], [7].

We denote by \mathcal{A} the set of the $D \subset \mathbb{K}$ such that $H(D)$ is a \mathbb{K} -algebra. It is known that $D \in \mathcal{A}$ if and only if $\bar{D} \setminus D \subset \overset{\circ}{\bar{D}}$ and $\tilde{D} \setminus \bar{D}$ is bounded [5, Th. III.6]).

Let $D \subset \mathbb{K}$. Then D is said to be *infraconnected* if, for all $a \in D$, the set $\{|x - a|; x \in \mathbb{K}\}$ is an interval of \mathbb{R} , [4], [5] and [7]. A closed bounded infraconnected set B in \mathbb{K} is said to be *affinoid* if it only admits finitely many holes, if their diameters belong to $|\mathbb{K}|$ and if $\text{diam}(B) \in |\mathbb{K}|$. More generally, a bounded set D in \mathbb{K} will be said to be *affinoid* if it is the union of finitely many closed infraconnected affinoids [8].

Remark. It is known that the intersection of two infraconnected affinoids is always an infraconnected affinoid [8]. But it is known that the intersection of two infraconnected sets may be a non-infraconnected subset of \mathbb{K} . However, we have the following lemma.

Lemma 1.1 *Let D be infraconnected and B be an infraconnected affinoid. Then $D \cap B$ is infraconnected.*

Proof. We suppose that $D \cap B$ is not infraconnected. Then, there exist $a, b \in D \cap B$ and $r_1, r_2 \in \mathbb{R}$ with $0 < r_1 < r_2 < |a - b|$ such that $\Gamma(a, r_1, r_2) \cap B \cap D = \emptyset$.

Since B is an infraconnected affinoid, there only exist finitely many

$\rho \in]0, |a - b|[$ such that the circle $C(a, \rho)$ contains holes of B . So, clearly there do exist ρ_1 and ρ_2 such that $r_1 < \rho_1 < \rho_2 < r_2$ and such that $\Gamma(a, \rho_1, \rho_2) \subset B$. Since D is infraconnected, then $\Gamma(a, \rho_1, \rho_2) \cap D \neq \emptyset$. This contradicts the hypothesis $\Gamma(a, r_1, r_2) \cap B \cap D = \emptyset$.

Definitions. A sequence $(a_n)_{n \in \mathbb{N}}$ in \mathbb{K} is said to be an *increasing distances sequence* (resp. a *decreasing distances sequence*) if the sequence $|a_{n+1} - a_n|$ is strictly increasing (resp. decreasing) and has a limit $l \in \mathbb{R}^*_+$.

A sequence $(a_n)_{n \in \mathbb{N}}$ is said to be a *monotonous distances sequence* if it is either an increasing distances sequence or a decreasing distances sequence.

A sequence $(a_n)_{n \in \mathbb{N}}$ in \mathbb{K} is said to be an *equal distances sequence* if $|a_n - a_m| = |a_m - a_q|$ whenever $n, m, q \in \mathbb{N}$ such that $n \neq m \neq q$.

We call a *decreasing filter of diameter r* on \mathbb{K} a filter \mathcal{G} on \mathbb{K} that admits for basis a sequence $(D_n)_{n \in \mathbb{N}}$ in \mathbb{K} of the form $D_n = d(a_n, r_n) \setminus (\bigcap_{m \in \mathbb{N}} d(a_m, r_m))$ with $d(a_{n+1}, r_{n+1}) \subset d(a_n, r_n)$, $r_{n+1} < r_n$ and

$\lim_{n \rightarrow \infty} r_n = r$. We call *center* of \mathcal{G} each element of $\bigcap_{m \in \mathbb{N}} d(a_m, r_m)$. If

$\bigcap_{m \in \mathbb{N}} d(a_m, r_m) = \emptyset$ then \mathcal{G} is said to be a *decreasing filter with no center*.

According to such a notation the sequence $(D_n)_{n \in \mathbb{N}}$ is called a *canonical basis of \mathcal{G}* .

Let $a \in \mathbb{K}$ and $r > 0$. We call *circular filter on \mathbb{K} , of center a and diameter r* , the filter \mathcal{F} on \mathbb{K} which admits as a generating system the family of the annuli $\Gamma(\alpha, r', r'')$ with $\alpha \in d(a, r)$ and $r' < r < r''$, i.e.: \mathcal{F} is the filter which admits for basis the family of sets of the form $\bigcap_{i=1}^q \Gamma(\alpha_i, r'_i, r''_i)$ with $\alpha_i \in d(a, r)$ and $r'_i < r < r''_i$ ($1 \leq i \leq q$, $q \in \mathbb{N}$). We

call *circular filter on \mathbb{K} with no center* any decreasing filter \mathcal{G} with no center.

The filter of neighbourhoods of a point a in \mathbb{K} is called *circular filter of center a and diameter 0 on \mathbb{K}* . It is also named *Cauchy circular filter* of center a on \mathbb{K} and will be denoted by \mathcal{F}_a .

Finally we will call *circular filter on \mathbb{K}* all filters of one of those three kind above. A circular filter on \mathbb{K} will be said to be *large* if it has

diameter different from 0. Given a circular filter \mathcal{F} on \mathbb{K} , its diameter will be denoted by $\text{diam}(\mathcal{F})$. As usual about filters, a filter \mathcal{F} will be said to be *secant* with a subset D of \mathbb{K} if every element A of \mathcal{F} is such that $A \cap D \neq \emptyset$. Two filters \mathcal{F} and \mathcal{G} are said to be *secant* if for every $A \in \mathcal{F}$ and $B \in \mathcal{G}$, then $A \cap B \neq \emptyset$.

Let \mathcal{G} be a decreasing filter of center a (resp. with no center) and diameter r . The circular filter \mathcal{F} of center a (resp. \mathcal{G}) and diameter r is known to be the *unique circular filter less thin than \mathcal{G}* (Proposition 3.13 [7]).

If two circular filters are secant, they are equal [7].

Remark. Every circular filter \mathcal{F} on \mathbb{K} admits a basis consisting of a family of affinoid sets. Indeed, if \mathcal{F} is the circular filter on \mathbb{K} of center a and diameter r , then we clearly obtain a basis of the form $\bigcap_{i=1}^q \Delta(\alpha_i, r'_i, r''_i)$ with $\alpha_i \in d(a, r)$, $r'_i, r''_i \in |\mathbb{K}|^*$ and $r'_i < r < r''_i$ ($1 \leq i \leq q$, $q \in \mathbb{N}$).

If \mathcal{F} is a circular filter with no center, of canonical basis $(D_n)_{n \in \mathbb{N}}$, we can find a sequence of disks B_n , the diameter of which lie in $|\mathbb{K}|$, such that $D_n \subset B_n \subset D_{n-1}$.

If \mathcal{F} is the Cauchy circular filter of center a , we just consider disks $d(a, r_n)$ with $r_n \in |\mathbb{K}|$ and $\lim_{n \rightarrow \infty} r_n = 0$.

Notation. We denote by $\text{Mult}(\mathbb{K}[X])$ (resp. $\text{Mult}(\mathbb{K}(X))$) the set of multiplicative semi-norms on the \mathbb{K} -algebra $\mathbb{K}[X]$ (resp. $\mathbb{K}(X)$).

Given $D \subset \mathbb{K}$, we denote by $\text{Mult}(R(D), \mathcal{U}_D)$ the set of multiplicative semi-norms on the \mathbb{K} -algebra $R(D)$ that are continuous with respect to the topology \mathcal{U}_D . Furthermore, we denote by $\text{Mult}(H(D), \mathcal{U}_D)$ the set of continuous semi-norms ψ of the \mathbb{K} -vector space $H(D)$ satisfying $\psi(fg) = \psi(f)\psi(g)$ whenever $f, g \in H(D)$ such that $fg \in H(D)$. We notice that for defining $\text{Mult}(H(D), \mathcal{U}_D)$ we don't require $H(D)$ to be a \mathbb{K} -algebra.

2 Distance on circular filters

This chapter is aimed at defining a distance on the set of circular filters on \mathbb{K} , by the way of a partial order relation on this set.

Definitions and notation. Let \mathcal{F} be a circular filter of center a and diameter r . We denote by $\mathcal{Q}(\mathcal{F})$ the set of the centers of \mathcal{F} . The set $\mathcal{Q}(\mathcal{F})$ will be called the *heart* of \mathcal{F} . Here we have $\mathcal{Q}(\mathcal{F}) = d(a, r)$. If \mathcal{F} is a circular filter without centers, we put $\mathcal{Q}(\mathcal{F}) = \emptyset$.

Given two circular filters on \mathbb{K} , \mathcal{F} and \mathcal{G} , we say that \mathcal{G} *surrounds* \mathcal{F} if \mathcal{F} is secant with $\mathcal{Q}(\mathcal{G})$ or if $\mathcal{F} = \mathcal{G}$. We put $\mathcal{F} \preceq \mathcal{G}$ when \mathcal{G} surrounds \mathcal{F} . We say that \mathcal{G} *strictly surrounds* \mathcal{F} , if $\mathcal{F} \preceq \mathcal{G}$ and $\mathcal{F} \neq \mathcal{G}$; such a filter \mathcal{G} clearly possesses centers and we note $\mathcal{F} \prec \mathcal{G}$.

Remark. If $\mathcal{F} \preceq \mathcal{G}$ and $\text{diam}(\mathcal{F}) = \text{diam}(\mathcal{G})$ then $\mathcal{F} = \mathcal{G}$.

It is clearly seen that " \preceq " is a partial order relation on the set of circular filters on \mathbb{K} . Given a circular filter \mathcal{F} on \mathbb{K} , we will call *wire* of \mathcal{F} the set $\mathcal{W}(\mathcal{F})$ of circular filters \mathcal{G} on \mathbb{K} such that $\mathcal{F} \preceq \mathcal{G}$.

The following lemma is a direct adaptation of Lemma 41.2 of [7].

Lemma 2.1. *Let \mathcal{F} be a circular filter on \mathbb{K} , of diameter $r > 0$. For all $s \in [r, +\infty[$, there exists a unique circular filter \mathcal{G} of diameter s surrounding \mathcal{F} . Further, if $s > r$, then $\mathcal{Q}(\mathcal{G}) \neq \emptyset$.*

Proof. If $s = r$, we take $\mathcal{G} = \mathcal{F}$ and the uniqueness is obvious. Now, suppose $s > r$ and let $d(a, s)$ be a disk which belongs to \mathcal{F} . Then, the circular filter \mathcal{G} of center a and diameter s surrounds \mathcal{F} . Suppose that an other circular filter \mathcal{G}' of center b and diameter s also surrounds \mathcal{F} . Since \mathcal{F} is secant with both $d(a, s)$ and $d(b, s)$ and since $r < s$, we have $|a - b| \leq s$, and therefore $\mathcal{G} = \mathcal{G}'$.

Lemma 2.2 is obvious.

Lemma 2.2. *Let \mathcal{F}, \mathcal{G} be two circular filters with centers such that $\mathcal{Q}(\mathcal{F}) \subset \mathcal{Q}(\mathcal{G})$. Then \mathcal{G} surrounds \mathcal{F} .*

Lemma 2.3. *Given any circular filter \mathcal{F} on \mathbb{K} , then $\mathcal{W}(\mathcal{F})$ is totally ordered by \preceq .*

Proof. Let \mathcal{G} and \mathcal{H} belong to $\mathcal{W}(\mathcal{F}) \setminus \{\mathcal{F}\}$. By Lemma 2.1, both $\mathcal{Q}(\mathcal{G})$ and $\mathcal{Q}(\mathcal{H})$ are not empty. So \mathcal{F} is secant with both $\mathcal{Q}(\mathcal{G})$ and $\mathcal{Q}(\mathcal{H})$. Let $d(a, r) \in \mathcal{F}$ such that $d(a, r) \subset \mathcal{Q}(\mathcal{G})$. Then, as $d(a, r) \cap \mathcal{Q}(\mathcal{H}) \neq \emptyset$, we have $\mathcal{Q}(\mathcal{H}) \cap \mathcal{Q}(\mathcal{G}) \neq \emptyset$. Hence $\mathcal{Q}(\mathcal{H})$ and $\mathcal{Q}(\mathcal{G})$ are comparable for the relation \subset and therefore \mathcal{H} and \mathcal{G} are comparable for \preceq .

Definition. *A family of circular filters on \mathbb{K} will be said to be on the same wire if their set is all ordered for \preceq .*

Remark and definitions. Given a circular filter \mathcal{F} on \mathbb{K} , we may define a distance δ' on $\mathcal{W}(\mathcal{F})$ in this way: given $\mathcal{G}, \mathcal{H} \in \mathcal{W}$, we put $\delta'(\mathcal{G}, \mathcal{H}) = |\text{diam}(\mathcal{G}) - \text{diam}(\mathcal{H})|_{\infty}$.

The elements of $\mathcal{W}(\mathcal{F})$ are just characterized by their diameters and then $\mathcal{W}(\mathcal{F})$, topologized with δ' , is clearly isometrically homeomorphic to the real interval $[\text{diam}(\mathcal{F}), +\infty[$. Moreover this homeomorphism does respect the order. Given $\mathcal{G}, \mathcal{H} \in \mathcal{W}(\mathcal{F})$ with $\mathcal{G} \preceq \mathcal{H}$, we will denote by $[\mathcal{G}, \mathcal{H}]$ the set of the circular filters \mathcal{X} such that $\mathcal{G} \preceq \mathcal{X} \preceq \mathcal{H}$. Then $[\mathcal{G}, \mathcal{H}]$ is isometrically homeomorphic to the real interval $[\text{diam}(\mathcal{G}), \text{diam}(\mathcal{H})]$.

We shall now generalize this distance to the set of circular filters.

Lemma 2.4. *Let \mathcal{F} and \mathcal{G} be non comparable circular filters on \mathbb{K} . There exist disks $d(a, \rho) \in \mathcal{F}$, $d(b, \sigma) \in \mathcal{G}$ such that $d(a, \rho) \cap d(b, \sigma) = \emptyset$.*

Proof. Suppose one can't find $d(a, \rho) \in \mathcal{F}$, $d(b, \sigma) \in \mathcal{G}$ such that $d(a, \rho) \cap d(b, \sigma) = \emptyset$. Then the family S of circumferenced disks which belong to \mathcal{F} and \mathcal{G} is totally ordered. Let $\Lambda = \bigcap_{A \in S} A$ and let \mathcal{H} be the decreasing filter admitting for basis the family $\{A \setminus \Lambda; A \in S\}$.

If $\text{diam}(\mathcal{F}) = \text{diam}(\mathcal{G})$, we see that $\mathcal{F} = \mathcal{G}$.

Now let $r = \text{diam}(\mathcal{F})$, let $s = \text{diam}(\mathcal{G})$, and suppose $r < s$. Then \mathcal{F} contains a disk $d(\alpha, \lambda)$ with $r < \lambda < s$. Such a disk is included in all disks $d(\beta, \mu) \in \mathcal{G}$, because $\mu > s$. Hence \mathcal{F} is secant with $\mathcal{Q}(\mathcal{G})$ and therefore \mathcal{G} surrounds \mathcal{F} , a contradiction to the hypothesis.

Theorem 2.1. *Let \mathcal{F}, \mathcal{G} be circular filters on \mathbb{K} . Let $(D_i)_{i \in I}$ be the family of circumferenced disks that belong to both \mathcal{F} and \mathcal{G} , and let $\Lambda = \bigcap_{i \in I} D_i$. Let \mathcal{H} be the decreasing filter admitting for basis the family $\{D_i \setminus \Lambda; i \in I\}$ and let \mathcal{M} be the circular filter less thin than \mathcal{H} . Then $\mathcal{M} = \sup(\mathcal{F}, \mathcal{G})$ and $\mathcal{W}(\mathcal{M}) = \mathcal{W}(\mathcal{F}) \cap \mathcal{W}(\mathcal{G})$.*

Proof. As the claims are immediate if $\mathcal{F} \preceq \mathcal{G}$, we may suppose that \mathcal{F} and \mathcal{G} are not comparable. By Lemma 2.4 there exist $d(a, \rho) \in \mathcal{F}$, $d(b, \sigma) \in \mathcal{G}$ such that $d(a, \rho) \cap d(b, \sigma) = \emptyset$. Let $t = |a - b|$. Both \mathcal{F}, \mathcal{G} are secant with $d(a, t)$. Therefore, the circular filter \mathcal{N} of center a and diameter t surrounds \mathcal{F} and \mathcal{G} . We will show that $\mathcal{N} = \inf(\mathcal{W}(\mathcal{F}) \cap \mathcal{W}(\mathcal{G}))$. Indeed, let $\mathcal{E} \in \mathcal{W}(\mathcal{F}) \cap \mathcal{W}(\mathcal{G})$ and let $u = \text{diam}(\mathcal{E})$. Let $l = \max(\rho, \sigma, u)$ and suppose $u < t$. Then we have $l < t$ and $d(a, l) \cap d(b, l) = \emptyset$. Let \mathcal{L} be the circular filter of diameter l , surrounding \mathcal{F} . Then \mathcal{L} and \mathcal{E} lie in the wire of \mathcal{F} . But since $\text{diam}(\mathcal{L}) \geq \text{diam}(\mathcal{E})$, then \mathcal{L} surrounds \mathcal{E} . As a consequence $\mathcal{L} \in \mathcal{W}(\mathcal{G})$. So, \mathcal{F} is secant with $d(a, l)$

and \mathcal{G} is secant with $d(b, l)$. Hence a and b lie in $\mathcal{Q}(\mathcal{L})$, and therefore $|a - b| \leq l$, which contradicts $l < t$. Thus $u \geq t$. As a consequence, \mathcal{N} and \mathcal{E} are two elements of $\mathcal{W}(\mathcal{F})$ such that $\text{diam}(\mathcal{N}) \leq \text{diam}(\mathcal{E})$. Hence $\mathcal{N} \preceq \mathcal{E}$. This proves $\mathcal{N} = \inf(\mathcal{W}(\mathcal{F}) \cap \mathcal{W}(\mathcal{G}))$. Consequently we have $\mathcal{N} = \sup(\mathcal{F}, \mathcal{G})$ and therefore $\mathcal{W}(\mathcal{N}) = \mathcal{W}(\mathcal{F}) \cap \mathcal{W}(\mathcal{G})$.

Finally, as $d(a, \rho) \in \mathcal{F}$, $d(b, \sigma) \in \mathcal{G}$ and $d(a, \rho) \cap d(b, \sigma) = \emptyset$ we check that $\Lambda = d(a, t)$. Then, clearly \mathcal{N} is equal to \mathcal{M} .

Notation. For any two circular filters \mathcal{F} and \mathcal{G} on \mathbb{K} , we will denote by $\mathcal{M}_{\mathcal{F}, \mathcal{G}}$ the circular filter $\sup(\mathcal{F}, \mathcal{G})$ whose existence has been shown in the previous theorem, and by $r_{\mathcal{F}, \mathcal{G}}$ its diameter.

Remark 1. If $\mathcal{F} \neq \mathcal{G}$ then $\mathcal{M}_{\mathcal{F}, \mathcal{G}}$ owns centers.

Remark 2. Let \mathcal{F} and \mathcal{G} be two circular filters on \mathbb{K} such that $\mathcal{F} \preceq \mathcal{G}$. Then $\mathcal{M}_{\mathcal{F}, \mathcal{G}} = \mathcal{G}$.

Lemma 2.5. Let \mathcal{F} and \mathcal{G} be two circular filters on \mathbb{K} , let $\mathcal{H} \in \mathcal{W}(\mathcal{F}) \setminus \mathcal{W}(\mathcal{G})$ and $\mathcal{I} \in \mathcal{W}(\mathcal{G}) \setminus \mathcal{W}(\mathcal{F})$. Then we have $\mathcal{M}_{\mathcal{F}, \mathcal{G}} = \mathcal{M}_{\mathcal{H}, \mathcal{I}}$.

Proof. We have $\mathcal{M}_{\mathcal{F}, \mathcal{G}} \in \mathcal{W}(\mathcal{F}) \cap \mathcal{W}(\mathcal{G})$. Since $\mathcal{H} \in \mathcal{W}(\mathcal{F}) \setminus \mathcal{W}(\mathcal{G})$ and $\mathcal{I} \in \mathcal{W}(\mathcal{G}) \setminus \mathcal{W}(\mathcal{F})$, then $\mathcal{M}_{\mathcal{F}, \mathcal{G}} \in \mathcal{W}(\mathcal{H}) \cap \mathcal{W}(\mathcal{I})$. Suppose that there exists $\mathcal{M}' \in \mathcal{W}(\mathcal{H}) \cap \mathcal{W}(\mathcal{I})$ such that $\mathcal{M}' \preceq \mathcal{M}_{\mathcal{F}, \mathcal{G}}$. As $\mathcal{M}' \in \mathcal{W}(\mathcal{H})$, then $\mathcal{M}' \in \mathcal{W}(\mathcal{F})$ and as $\mathcal{M}' \in \mathcal{W}(\mathcal{I})$, then $\mathcal{M}' \in \mathcal{W}(\mathcal{G})$. Hence $\mathcal{M}' \in \mathcal{W}(\mathcal{F}) \cap \mathcal{W}(\mathcal{G})$, and then we have $\mathcal{M}' = \mathcal{M}_{\mathcal{F}, \mathcal{G}}$. So $\mathcal{M}_{\mathcal{F}, \mathcal{G}}$ is the lower bound of $\mathcal{W}(\mathcal{H}) \cap \mathcal{W}(\mathcal{I})$, hence $\mathcal{M}_{\mathcal{F}, \mathcal{G}} = \mathcal{M}_{\mathcal{H}, \mathcal{I}}$.

Definition and notation. We are now able to extend δ' to a distance δ defined on all circular filters on \mathbb{K} . Let \mathcal{F}, \mathcal{G} be two circular filters on \mathbb{K} . We put $\delta(\mathcal{F}, \mathcal{G}) = \delta'(\mathcal{F}, \mathcal{M}_{\mathcal{F}, \mathcal{G}}) + \delta'(\mathcal{G}, \mathcal{M}_{\mathcal{F}, \mathcal{G}}) = 2r_{\mathcal{F}, \mathcal{G}} - \text{diam}(\mathcal{F}) - \text{diam}(\mathcal{G})$.

Theorem 2.2. The mapping δ is a distance on the set of circular filters on \mathbb{K} , satisfying further $\delta(\mathcal{F}, \mathcal{G}) = \delta'(\mathcal{F}, \mathcal{G})$ when \mathcal{F} and \mathcal{G} are comparable for \preceq .

Proof. We first notice that if $\mathcal{F} \preceq \mathcal{G}$, then $\delta(\mathcal{F}, \mathcal{G}) = 2r_{\mathcal{F}, \mathcal{G}} - \text{diam}(\mathcal{F}) - \text{diam}(\mathcal{G})$. But since $\delta'(\mathcal{F}, \mathcal{G}) = \text{diam}(\mathcal{G}) - \text{diam}(\mathcal{F})$ and $r_{\mathcal{F}, \mathcal{G}} = \text{diam}(\mathcal{G})$, we obviously have $\delta(\mathcal{F}, \mathcal{G}) = \delta'(\mathcal{F}, \mathcal{G})$.

It is clearly seen that $\delta(\mathcal{F}, \mathcal{G}) = 0$ if and only if $\mathcal{F} = \mathcal{G}$ and that $\delta(\mathcal{F}, \mathcal{G}) = \delta(\mathcal{G}, \mathcal{F})$ for all circular filters \mathcal{F} and \mathcal{G} .

We now have to check the triangle inequality. Let $\mathcal{F}, \mathcal{G}, \mathcal{H}$ be circular filters on \mathbb{K} whose diameters are respectively λ, μ and ν . It is clearly seen

that, if \mathcal{F} and \mathcal{G} are on the same wire, then the inequality is satisfied. Suppose that \mathcal{F} and \mathcal{G} are not on the same wire.

If $\mathcal{H} \in \mathcal{W}(\mathcal{F}) \cap \mathcal{W}(\mathcal{G})$ then $\mathcal{M}_{\mathcal{F},\mathcal{G}} \preceq \mathcal{H}$, hence $r_{\mathcal{F},\mathcal{G}} \leq \nu$. So we have $\delta(\mathcal{F}, \mathcal{G}) = 2r_{\mathcal{F},\mathcal{G}} - \lambda - \mu \leq (\nu - \lambda) + (\nu - \mu) = \delta(\mathcal{F}, \mathcal{H}) + \delta(\mathcal{H}, \mathcal{G})$.

If $\mathcal{H} \in \mathcal{W}(\mathcal{F}) \setminus \mathcal{W}(\mathcal{G})$ then by Lemma 2.5, we have $\mathcal{M}_{\mathcal{F},\mathcal{G}} = \mathcal{M}_{\mathcal{H},\mathcal{G}}$ and then $r_{\mathcal{F},\mathcal{G}} = r_{\mathcal{H},\mathcal{G}}$. Hence $\delta(\mathcal{F}, \mathcal{H}) = \nu - \lambda$ and $\delta(\mathcal{G}, \mathcal{H}) = 2r_{\mathcal{F},\mathcal{G}} - \nu - \mu$. So we have $\delta(\mathcal{F}, \mathcal{G}) = 2r_{\mathcal{F},\mathcal{G}} - \lambda - \mu = \delta(\mathcal{F}, \mathcal{H}) + \delta(\mathcal{G}, \mathcal{H})$.

If $\mathcal{H} \preceq \mathcal{F}$, then $\nu \leq \lambda$, so $-\lambda \leq -2\nu + \lambda$. Moreover, by Lemma 2.5 we have $\mathcal{M}_{\mathcal{F},\mathcal{G}} = \mathcal{M}_{\mathcal{H},\mathcal{G}}$. So $\delta(\mathcal{F}, \mathcal{G}) = 2r_{\mathcal{F},\mathcal{G}} - \lambda - \mu \leq (\lambda - \nu) + 2r_{\mathcal{F},\mathcal{G}} - \nu - \mu = \delta(\mathcal{F}, \mathcal{H}) + \delta(\mathcal{G}, \mathcal{H})$.

Finally, suppose $\mathcal{H} \notin \mathcal{W}(\mathcal{F}) \cup \mathcal{W}(\mathcal{G})$. Of course $\mathcal{M}_{\mathcal{F},\mathcal{G}}$ and $\mathcal{M}_{\mathcal{F},\mathcal{H}}$ are on the wire of \mathcal{F} . Put $\mathcal{E} = \mathcal{M}_{\mathcal{F},\mathcal{H}}$. First suppose $\mathcal{M}_{\mathcal{F},\mathcal{H}} \prec \mathcal{M}_{\mathcal{F},\mathcal{G}}$, then we have $\mathcal{M}_{\mathcal{F},\mathcal{H}} \in \mathcal{W}(\mathcal{F}) \setminus \mathcal{W}(\mathcal{G})$, then by Lemma 2.5 $\mathcal{M}_{\mathcal{E},\mathcal{G}} = \mathcal{M}_{\mathcal{F},\mathcal{G}}$. In the same way, as $\mathcal{M}_{\mathcal{F},\mathcal{H}} \in \mathcal{W}(\mathcal{H}) \setminus \mathcal{W}(\mathcal{G})$, we have $\mathcal{M}_{\mathcal{E},\mathcal{G}} = \mathcal{M}_{\mathcal{H},\mathcal{G}}$, and then $\mathcal{M}_{\mathcal{F},\mathcal{G}} = \mathcal{M}_{\mathcal{H},\mathcal{G}}$. So, we have $\delta(\mathcal{F}, \mathcal{G}) = 2r_{\mathcal{F},\mathcal{G}} - \lambda - \mu = 2r_{\mathcal{H},\mathcal{G}} - \lambda - \mu \leq 2r_{\mathcal{H},\mathcal{G}} - \lambda - \mu + 2r_{\mathcal{F},\mathcal{H}} - 2\nu = \delta(\mathcal{F}, \mathcal{H}) + \delta(\mathcal{G}, \mathcal{H})$ (as $\mathcal{H} \preceq \mathcal{M}_{\mathcal{F},\mathcal{H}}$ we have $2r_{\mathcal{F},\mathcal{H}} - 2\nu \geq 0$). Finally, if $\mathcal{M}_{\mathcal{F},\mathcal{G}} \preceq \mathcal{M}_{\mathcal{F},\mathcal{H}}$, we have $\delta(\mathcal{F}, \mathcal{G}) = 2r_{\mathcal{F},\mathcal{G}} - \lambda - \mu \leq 2r_{\mathcal{F},\mathcal{H}} - \lambda - \mu \leq 2r_{\mathcal{F},\mathcal{H}} - \lambda - \mu + 2r_{\mathcal{G},\mathcal{H}} - 2\nu = \delta(\mathcal{F}, \mathcal{H}) + \delta(\mathcal{G}, \mathcal{H})$ (as $\mathcal{H} \preceq \mathcal{M}_{\mathcal{G},\mathcal{H}}$ we have $2r_{\mathcal{G},\mathcal{H}} - 2\nu \geq 0$). This ends the proof.

Remark. Cauchy circular filters on \mathbb{K} are canonically identified with the points of \mathbb{K} . For $a, b \in \mathbb{K}$, let \mathcal{F} and \mathcal{G} be the Cauchy circular filters whose centers are respectively a and b . We have $\delta(\mathcal{F}, \mathcal{G}) = 2|a - b|$. Thus the usual distance on \mathbb{K} , defined by the absolute value and the restriction of δ to \mathbb{K} , are equivalent on \mathbb{K} .

3 Topologies on $\text{Mult}(\mathbb{K}[X])$

Notation and definitions. We will denote by Φ the mapping from the set of circular filters on \mathbb{K} onto $\text{Mult}(\mathbb{K}[X])$, defined as $\Phi(\mathcal{F}) = \varphi_{\mathcal{F}}$ where $\varphi_{\mathcal{F}}$ is the multiplicative semi-norm on $\mathbb{K}[X]$ defined by $\varphi_{\mathcal{F}}(h) = \liminf_{\mathcal{F}} |h(x)|$, $\forall h \in \mathbb{K}[X]$. We know that Φ is a bijection, [9] and [10].

This bijection allows us to consider an order relation and a distance on $\text{Mult}(\mathbb{K}[X])$, also respectively denoted by \preceq and δ , and defined in a natural way by $\varphi_{\mathcal{F}} \preceq \varphi_{\mathcal{G}}$ if and only if $\mathcal{F} \preceq \mathcal{G}$ and by $\delta(\varphi_{\mathcal{F}}, \varphi_{\mathcal{G}}) = \delta(\mathcal{F}, \mathcal{G})$. So, we may consider $\text{Mult}(\mathbb{K}[X])$ as a metric space.

We will denote by \mathcal{S} the topology of simple convergence on $\text{Mult}(\mathbb{K}[X])$ and by \mathfrak{T}_δ the metric topology defined by δ .

Given $\psi \in \text{Mult}(\mathbb{K}[X])$, $h \in \mathbb{K}[X]$, $\varepsilon > 0$, we denote by $V(\psi, h, \varepsilon)$ the set of the $\varphi \in \text{Mult}(\mathbb{K}[X])$ such that $|\varphi(h) - \psi(h)|_\infty < \varepsilon$.

Remark. We obtain a basis of neighbourhoods for the topology \mathcal{S} of any $\psi \in \text{Mult}(\mathbb{K}[X])$ by taking the sets of the form $\bigcap_{j=1}^q V(\psi, h_j, \varepsilon_j)$, $q \in \mathbb{N}^*$.

Proposition 3.1. *On $\text{Mult}(\mathbb{K}[X])$, the topology \mathfrak{T}_δ is strictly thinner than the topology \mathcal{S} .*

Proof. For $h \in \mathbb{K}[X]$, let ξ_h be the mapping from $\text{Mult}(\mathbb{K}[X])$ onto \mathbb{R} such that $\xi_h(\varphi_{\mathcal{F}}) = \varphi_{\mathcal{F}}(h) = \lim_{\mathcal{F}} |h(x)|$. It is known that \mathcal{S} is the least thin topology on $\text{Mult}(\mathbb{K}[X])$ such that ξ_h is continuous for all $h \in \mathbb{K}[X]$. So, by proving that ξ_h is continuous for \mathfrak{T}_δ , we will show that \mathfrak{T}_δ is thinner than \mathcal{S} .

We denote by $B(\varphi_{\mathcal{F}}, \beta)$ the open ball in $\text{Mult}(\mathbb{K}[X])$ of center $\varphi_{\mathcal{F}}$ and radius β with respect to the distance δ . Given $\varepsilon > 0$, by definition of $\varphi_{\mathcal{F}}(h)$, there exists an element $A \subset \mathbb{K}$ of the canonical basis of \mathcal{F} such that

$$(1) \quad |\varphi_{\mathcal{F}}(h) - |h(x)||_\infty < \varepsilon, \forall x \in A.$$

If \mathcal{F} is large and admits a center (resp. \mathcal{F} has no center or \mathcal{F} is a Cauchy circular filter), A is of the form $\bigcap_{i \in I} \Gamma(a_i, r_i, r)$ (resp. $d(a, r)$) with $r > \text{diam}(\mathcal{F})$ and $|a_i - a_j| = \text{diam}(\mathcal{F})$ if $i \neq j$ (resp. $r > \text{diam}(\mathcal{F})$).

Let $\lambda = \sup_{i \in I} (r_i)$, $\alpha = \inf(r - \text{diam}(\mathcal{F}), \text{diam}(\mathcal{F}) - \lambda)$ (resp. $\alpha = r - \text{diam}(\mathcal{F})$). For all $\psi \in B(\varphi_{\mathcal{F}}, \alpha)$, the circular filter on \mathbb{K} associated to ψ is secant with A . Hence by (1), we have $|\psi(h) - \varphi_{\mathcal{F}}(h)|_\infty < \varepsilon$. As $|\xi_h(\psi) - \xi_h(\varphi_{\mathcal{F}})|_\infty = |\psi(h) - \varphi_{\mathcal{F}}(h)|_\infty$, for all $\psi \in B(\varphi_{\mathcal{F}}, \alpha)$, we have $|\xi_h(\psi) - \xi_h(\varphi_{\mathcal{F}})|_\infty < \varepsilon$. Hence ξ_h is continuous for \mathfrak{T}_δ and so, \mathfrak{T}_δ is thinner than \mathcal{S} . Now, it rests to show that \mathcal{S} is not thinner than \mathfrak{T}_δ .

For this, let \mathcal{F} be a large circular filter on \mathbb{K} of center $a \in \mathbb{K}$ and let $\beta \in]0, \text{diam}(\mathcal{F})[$. Now, the filter of neighbourhoods of $\varphi_{\mathcal{F}}$, with respect to \mathcal{S} , admits a basis of the form $\bigcap_{j=1}^q V(\varphi_{\mathcal{F}}, h_j, \varepsilon_j)$ with $q \in \mathbb{N}^*$, $h_j \in \mathbb{K}[X]$. In particular, we consider such a neighbourhood $W = \bigcap_{j=1}^q V(\varphi_{\mathcal{F}}, h_j, \varepsilon_j)$. We put $\varepsilon = \inf_{j=1, \dots, q} (\varepsilon_j)$. For any $j \in \{1, \dots, q\}$, there

exists an element A_j of \mathcal{F} such that $|\varphi_{\mathcal{F}}(h_j) - |h_j(x)||_{\infty} < \epsilon, \forall x \in A_j$. We put $A = \bigcap_{j=1}^q A_j$ and then, we have $\forall j \in \{1, \dots, q\}, \forall x \in A, |\varphi_{\mathcal{F}}(h_j) - |h_j(x)||_{\infty} < \epsilon$. Of course A is not empty because \mathcal{G} is a filter. Let \mathcal{G} be a circular filter on \mathbb{K} of center $b \in d(a, \text{diam}(\mathcal{F})) \cap A$ and of diameter $\gamma \in]0, \text{diam}(\mathcal{F}) - \beta[$ (which is obviously secant with A). Such a circular filter exists because A is open. We have $|\varphi_{\mathcal{F}}(h_j) - \varphi_{\mathcal{G}}(h_j)|_{\infty} < \epsilon, \forall j \in \{1, \dots, q\}$. Then $\varphi_{\mathcal{G}} \in W$. But we clearly have $\delta(\varphi_{\mathcal{F}}, \varphi_{\mathcal{G}}) = \text{diam}(\mathcal{F}) - \gamma > \beta$. Hence $\varphi_{\mathcal{G}} \notin B(\varphi_{\mathcal{F}}, \beta)$. And then, $B(\varphi_{\mathcal{F}}, \beta)$ may not be a neighbourhood of $\varphi_{\mathcal{F}}$ with respect to the topology \mathcal{S} . In particular, $B(\varphi_{\mathcal{F}}, \beta)$ does not contain images by Φ of Cauchy filters on \mathbb{K} i.e. it only contains absolute values on $\mathbb{K}[X], [9]$. This ends the proof.

Definitions. Given \mathcal{F} and \mathcal{G} two circular filters on \mathbb{K} such that $\mathcal{F} \preceq \mathcal{G}$, we call *segment* $[\varphi_{\mathcal{F}}, \varphi_{\mathcal{G}}]$ of $\text{Mult}(\mathbb{K}[X])$ the image by Φ of the interval $[\mathcal{F}, \mathcal{G}]$, i.e. $[\varphi_{\mathcal{F}}, \varphi_{\mathcal{G}}] = \{\varphi_{\mathcal{H}} \in \text{Mult}(\mathbb{K}[X]) \mid \varphi_{\mathcal{F}} \preceq \varphi_{\mathcal{H}} \preceq \varphi_{\mathcal{G}}\}$.

A continuous function γ from an interval $[a, b]$ of \mathbb{R} into a topological space E is called a *path* of E . A subset S of a Hausdorff topological space E is said to be *arc-connected* if for every $A, B \in S$, there exists a path γ from $[0, 1]$ into S such that $\gamma(0) = A$ and $\gamma(1) = B$.

Proposition 3.2. *Every segment of $\text{Mult}(\mathbb{K}[X])$ is an arc-connected set with respect to the topology \mathfrak{T}_{δ} .*

Proof. Given \mathcal{F} and \mathcal{G} two circular filters on \mathbb{K} such that $\mathcal{F} \preceq \mathcal{G}$, we respectively denote by λ and μ their diameters and we consider the segment $[\varphi_{\mathcal{F}}, \varphi_{\mathcal{G}}]$ of $\text{Mult}(\mathbb{K}[X])$.

For every $t \in [\lambda, \mu]$, we denote by \mathcal{F}_t the circular filter in $\mathcal{W}(\mathcal{F})$ of diameter t , so $\mathcal{F}_t \in [\mathcal{F}, \mathcal{G}]$. Let f be the path on $\text{Mult}(\mathbb{K}[X])$ defined from $[\lambda, \mu]$ into $\text{Mult}(\mathbb{K}[X])$ by $f(t) = \varphi_{\mathcal{F}_t}$. Given $\epsilon > 0$ and $t_0 \in [\lambda, \mu]$, for all $t \in [\lambda, \mu]$ such that $|t - t_0|_{\infty} < \epsilon$, we have $\delta(\varphi_{\mathcal{F}_{t_0}}, \varphi_{\mathcal{F}_t}) < \epsilon$. Hence, the path f is continuous with respect to the topology \mathfrak{T}_{δ} on $\text{Mult}(\mathbb{K}[X])$ and this ends the proof.

Theorem 3.1. *$\text{Mult}(\mathbb{K}[X])$ is an arc-connected space with respect to the topology \mathfrak{T}_{δ} .*

Proof. Let $\varphi_{\mathcal{F}}$ and $\varphi_{\mathcal{G}}$ be two elements of $\text{Mult}(\mathbb{K}[X])$ associated to the circular filters \mathcal{F} and \mathcal{G} . By Proposition 3.2, both segments $[\varphi_{\mathcal{F}}, \varphi_{\mathcal{M}_{\mathcal{F}, \mathcal{G}}}]$ and $[\varphi_{\mathcal{G}}, \varphi_{\mathcal{M}_{\mathcal{F}, \mathcal{G}}}]$ are arc-connected. Hence there exists a path f from $[0, 1]$ into $\text{Mult}(\mathbb{K}[X])$ such that $f(0) = \mathcal{F}, f(1) = \mathcal{G}$ and $f(\frac{1}{2}) = \mathcal{M}_{\mathcal{F}, \mathcal{G}}$.

Corollary 3.1. *Mult($\mathbb{K}[X]$) is an arc-connected space with respect to the topology \mathcal{S} .*

Definitions and Notation. We denote by Φ^* the restriction of Φ to the set of large circular filters on \mathbb{K} . Then, given a large circular filter \mathcal{F} on \mathbb{K} , we may extend $\Phi^*(\mathcal{F}) = \varphi_{\mathcal{F}}$ to $\mathbb{K}(X)$. The mapping Φ^* is a bijection from the set of large circular filters on \mathbb{K} onto $\text{Mult}(\mathbb{K}(X))$, [9]. This bijection allows us to define the distance δ on $\text{Mult}(\mathbb{K}(X))$ by putting again $\delta(\varphi_{\mathcal{F}}, \varphi_{\mathcal{G}}) = \delta(\mathcal{F}, \mathcal{G})$, for all large circular filters \mathcal{F} and \mathcal{G} on \mathbb{K} . We also denote by \mathcal{S} the topology of simple convergence on $\text{Mult}(\mathbb{K}(X))$ and by \mathfrak{T}_{δ} the metric one associated to the distance δ .

The same proof of the one of Proposition 3.1 holds on $\text{Mult}(\mathbb{K}(X))$, then we have the following proposition.

Proposition 3.3. *On $\text{Mult}(\mathbb{K}(X))$, \mathfrak{T}_{δ} is strictly thinner than \mathcal{S} .*

Theorem 3.2. *Mult($\mathbb{K}(X)$) is an arc-connected space with respect to both topologies.*

Proof. Let $\varphi_{\mathcal{F}}, \varphi_{\mathcal{G}} \in \text{Mult}(\mathbb{K}(X))$. Then \mathcal{F}, \mathcal{G} are large circular filter on \mathbb{K} and so is each element of $[\mathcal{F}, \mathcal{M}_{\mathcal{F}, \mathcal{G}}]$ (resp. $[\mathcal{G}, \mathcal{M}_{\mathcal{F}, \mathcal{G}}]$). Put $\mathcal{E} = \mathcal{M}_{\mathcal{F}, \mathcal{G}}$. Therefore $[\varphi_{\mathcal{F}}, \varphi_{\mathcal{E}}]$ (resp. $[\varphi_{\mathcal{G}}, \varphi_{\mathcal{E}}]$) is included in $\text{Mult}(\mathbb{K}(X))$, so the conclusion comes from Theorem 3.1 and Corollary 3.1.

4 Topologies on $\text{Mult}(H(D), \mathcal{U}_D)$.

Remark. If two circular filters \mathcal{F}, \mathcal{G} on \mathbb{K} are secant with a set D and satisfy ${}_D\mathcal{F} = {}_D\mathcal{G}$ then $\mathcal{F} = \mathcal{G}$ because \mathcal{F} and \mathcal{G} are secant.

Definitions and notation. Let $D \subset \mathbb{K}$ and let \mathcal{F} be a large circular filter on \mathbb{K} secant with D . We denote by ${}_D\mathcal{F}$ the filter $\mathcal{F} \cap D$ which is called *circular filter on D* . The filter of neighbourhoods, in D , of a point $a \in D$ is also called *circular filter on D* . This filter is the filter $\mathcal{F}_a \cap D$ that we also call *Cauchy circular filter on D* , [7] and [9]. The set of circular filters on D will be denoted by $\Theta(D)$.

Remark. Let $a \in \overline{D} \setminus D$. The Cauchy filter \mathcal{F}_a is secant with D but it is not a circular filter on D . If D is closed, then each circular filter on \mathbb{K} secant with D , large or not, induces on D a circular filter on D , [7] and [9].

By properties of the intersection, we may obviously define on $\Theta(D)$ a partial order relation, also denoted by \preceq i.e.: ${}_D\mathcal{F} \preceq {}_D\mathcal{G}$ if $\mathcal{F} \preceq \mathcal{G}$. In the same way, we may also define a distance on $\Theta(D)$, denoted by δ again, as $\delta({}_D\mathcal{F}, {}_D\mathcal{G}) = \delta(\mathcal{F}, \mathcal{G})$.

Lemma 4.1. *Let D be an infraconnected subset of \mathbb{K} and let \mathcal{F} and \mathcal{G} be two circular filters on \mathbb{K} secant with D such that $\mathcal{F} \preceq \mathcal{G}$. Then for all $\mathcal{H} \in [\mathcal{F}, \mathcal{G}]$, \mathcal{H} is secant with D .*

Proof. Let $\mathcal{H} \in [\mathcal{F}, \mathcal{G}]$ and $\lambda = \text{diam}(\mathcal{H})$. Since $\lambda \in [\text{diam}(\mathcal{F}), \text{diam}(\mathcal{G})]$, by Lemma 4.1.2 of [7] there exists a unique circular filter ${}_D\mathcal{X}$ on D of diameter λ satisfying ${}_D\mathcal{F} \preceq {}_D\mathcal{X}$. But by Lemma 2.1, \mathcal{H} is the unique circular filter of diameter λ surrounding \mathcal{F} . So, we have $\mathcal{H} = \mathcal{X}$, hence \mathcal{H} is secant with D .

Lemma 4.2. *Let D be an infraconnected subset of \mathbb{K} and let \mathcal{F} and \mathcal{G} be two circular filters on \mathbb{K} secant with D . Then $\mathcal{M}_{\mathcal{F}, \mathcal{G}}$ is secant with D .*

Proof. If $\mathcal{F} \preceq \mathcal{G}$ or $\mathcal{G} \preceq \mathcal{F}$, Lemma 4.2 is obvious by Remark 2 of section 2. Else, by Lemma 2.4 there exist disks $d(a, r) \in \mathcal{F}$ and $d(b, s) \in \mathcal{G}$ such that $d(a, r) \cap d(b, s) = \emptyset$. Since \mathcal{F} and \mathcal{G} are secant with D , without loss of generality we may suppose $a, b \in D$. Let \mathcal{H} be the circular filter of center a and diameter $|a - b|$. Since D is infraconnected, by Proposition 3.14 [7], \mathcal{H} is secant with D and then we have $\mathcal{F} \preceq \mathcal{H}$ and $\mathcal{G} \preceq \mathcal{H}$, so $\mathcal{M}_{\mathcal{F}, \mathcal{G}} \preceq \mathcal{H}$. Hence, by Lemma 4.1, $\mathcal{M}_{\mathcal{F}, \mathcal{G}}$ is secant with D .

Definitions and notation. Let $D \subset \mathbb{K}$. Circular filters on D are known to characterize the elements of $\text{Mult}(H(D), \mathcal{U}_D)$ in the following way. To each circular filter ${}_D\mathcal{F}$ on D , we can associate an element ${}_D\varphi_{\mathcal{F}}$ of $\text{Mult}(H(D), \mathcal{U}_D)$ such that $\forall f \in H(D)$, ${}_D\varphi_{\mathcal{F}}(f) = \lim_{D\mathcal{F}} |f(x)|$. The mapping ${}_D\Phi : {}_D\mathcal{F} \mapsto {}_D\varphi_{\mathcal{F}}$ is a bijection from $\Theta(D)$ onto $\text{Mult}(H(D), \mathcal{U}_D)$ (Theorem 4.14 [7]).

Then, as in $\text{Mult}(\mathbb{K}[X])$, this bijection defines an order relation and a distance on $\text{Mult}(H(D), \mathcal{U}_D)$, also respectively denoted by \preceq and δ ; they are defined in a natural way as: ${}_D\varphi_{\mathcal{F}} \preceq {}_D\varphi_{\mathcal{G}}$ if ${}_D\mathcal{F} \preceq {}_D\mathcal{G}$ and $\delta({}_D\varphi_{\mathcal{F}}, {}_D\varphi_{\mathcal{G}}) = \delta({}_D\mathcal{F}, {}_D\mathcal{G})$. Given two circular filters ${}_D\mathcal{F}$ and ${}_D\mathcal{G}$ on D , we define in a natural way the *segment* $[{}_D\varphi_{\mathcal{F}}, {}_D\varphi_{\mathcal{G}}]$ of $\text{Mult}(H(D), \mathcal{U}_D)$ as $[{}_D\varphi_{\mathcal{F}}, {}_D\varphi_{\mathcal{G}}] = \{ {}_D\varphi_{\mathcal{H}} \in \text{Mult}(H(D), \mathcal{U}_D) \mid {}_D\varphi_{\mathcal{F}} \preceq {}_D\varphi_{\mathcal{H}} \preceq {}_D\varphi_{\mathcal{G}} \}$.

As we did on $\text{Mult}(\mathbb{K}[X])$, we will denote by \mathcal{S} the topology of simple convergence on $\text{Mult}(H(D), \mathcal{U}_D)$ and by \mathfrak{T}_δ the metric one (defined by δ).

Proposition 4.1. *On $\text{Mult}(H(D), \mathcal{U}_D)$, the topology \mathfrak{T}_δ is thinner than the topology \mathcal{S} .*

Proof. The proof is similar to this of Proposition 3.1. For $h \in H(D)$, let ξ_h be the mapping from $\text{Mult}(H(D), \mathcal{U}_D)$ onto \mathbb{R} such that $\xi_h({}_D\varphi_{\mathcal{F}}) = {}_D\varphi_{\mathcal{F}}(h) = \lim_{D\mathcal{F}} |h(x)|$. It is known that \mathcal{S} is the least thin topology on $\text{Mult}(H(D), \mathcal{U}_D)$ such that ξ_h is continuous for all $h \in H(D)$. So, by proving that ξ_h is continuous for \mathfrak{T}_δ , we will show that \mathfrak{T}_δ is thinner than \mathcal{S} .

We denote by $B({}_D\varphi_{\mathcal{F}}, \beta)$ the open ball in $\text{Mult}(H(D), \mathcal{U}_D)$ of center ${}_D\varphi_{\mathcal{F}}$ and radius β with respect to the distance δ . Given $\varepsilon > 0$, by definition of ${}_D\varphi_{\mathcal{F}}(h)$, there exists an element $A \subset \mathbb{K}$ of a canonical basis of \mathcal{F} such that

$$(1) \quad |\varphi_{\mathcal{F}}(h) - |h(x)||_\infty < \varepsilon, \quad \forall x \in A \cap D.$$

If \mathcal{F} is large and admits a center (resp. \mathcal{F} has no center or \mathcal{F} is a Cauchy circular filter), A is of the form $\bigcap_{i \in I} \Gamma(a_i, r_i, r)$ (resp. $d(a, r)$) with $r > \text{diam}(\mathcal{F})$ and $|a_i - a_j| = \text{diam}(\mathcal{F})$ if $i \neq j$ (resp. $r > \text{diam}(\mathcal{F})$).

Let $\lambda = \sup_{i \in I} (r_i)$, $\alpha = \inf(r - \text{diam}(\mathcal{F}), \text{diam}(\mathcal{F}) - \lambda)$ (resp. $\alpha = r - \text{diam}(\mathcal{F})$). For ${}_D\varphi_{\mathcal{G}} \in B({}_D\varphi_{\mathcal{F}}, \alpha)$, the circular filter ${}_D\mathcal{G}$ is secant with $A \cap D$. Hence by (1), we have $|{}_D\varphi_{\mathcal{G}}(h) - {}_D\varphi_{\mathcal{F}}(h)|_\infty < \varepsilon$. As $|\xi_h({}_D\varphi_{\mathcal{G}}) - \xi_h({}_D\varphi_{\mathcal{F}})|_\infty = |{}_D\varphi_{\mathcal{G}}(h) - {}_D\varphi_{\mathcal{F}}(h)|_\infty$, for all ${}_D\varphi_{\mathcal{G}} \in B({}_D\varphi_{\mathcal{F}}, \alpha)$, we have $|\xi_h({}_D\varphi_{\mathcal{G}}) - \xi_h({}_D\varphi_{\mathcal{F}})|_\infty < \varepsilon$. Hence ξ_h is continuous for \mathfrak{T}_δ and so, \mathfrak{T}_δ is thinner than \mathcal{S} .

Remark. Take care that, here, topologies \mathcal{S} and \mathfrak{T}_δ may be equivalent in certain particular cases. See explanations and examples in Chapter IV.

Notation and definitions. As for $\text{Mult}(\mathbb{K}[X])$, given ${}_D\varphi_{\mathcal{F}} \in \text{Mult}(H(D), \mathcal{U}_D)$, $f \in H(D)$, $\varepsilon > 0$ we will denote by $V({}_D\varphi_{\mathcal{F}}, f, \varepsilon)$ the set of the ${}_D\varphi_{\mathcal{G}} \in \text{Mult}(H(D), \mathcal{U}_D)$ such that $|{}_D\varphi_{\mathcal{F}}(f) - {}_D\varphi_{\mathcal{G}}(f)|_\infty < \varepsilon$. So, we have a basis of neighbourhoods of any ${}_D\varphi_{\mathcal{F}} \in \text{Mult}(H(D), \mathcal{U}_D)$

for the topology \mathcal{S} by taking the sets of the form $\bigcap_{j=1}^q V({}_D\varphi_{\mathcal{F}}, f_j, \varepsilon_j)$, $q \in \mathbb{N}^*$ that we call *canonical neighbourhoods of ${}_D\varphi_{\mathcal{F}}$* .

We will denote by $W({}_D\varphi_{\mathcal{F}}, f, \varepsilon)$ the set of the ${}_D\varphi_{\mathcal{G}} \in \text{Mult}(H(D), \mathcal{U}_D)$ such that $|{}_D\varphi_{\mathcal{F}}(f) - {}_D\varphi_{\mathcal{G}}(f)|_{\infty} \leq \varepsilon$. Thus, we also have a basis of neighbourhoods of ${}_D\varphi_{\mathcal{F}}$ with respect to \mathcal{S} by taking the sets of the form $\bigcap_{j=1}^q W({}_D\varphi_{\mathcal{F}}, f_j, \varepsilon_j)$, $q \in \mathbb{N}^*$.

Proposition 4.2. *Let D be infraconnected. Then every segment in $\text{Mult}(H(D), \mathcal{U}_D)$ is arc-connected with respect to both topologies.*

Proof. Let ${}_D\varphi_{\mathcal{F}}, {}_D\varphi_{\mathcal{G}} \in \text{Mult}(H(D), \mathcal{U}_D)$ such that ${}_D\varphi_{\mathcal{F}} \preceq {}_D\varphi_{\mathcal{G}}$. As \mathcal{F} and \mathcal{G} are secant with D , by Lemma 4.1, every circular filter of $[\mathcal{F}, \mathcal{G}]$ is secant with D . Further, as every circular filter $\mathcal{H} \in [\mathcal{F}, \mathcal{G}]$ such that $\mathcal{F} \prec \mathcal{H}$ is large, we see that every circular filter in $[\mathcal{F}, \mathcal{G}]$ induces a circular filter on D . Hence we may consider the segment $[\varphi_{\mathcal{F}}, \varphi_{\mathcal{G}}]$ in $\text{Mult}(\mathbb{K}[X])$ as a subset of $\text{Mult}(H(D), \mathcal{U}_D)$. Then, by Theorem 3.1, $[\varphi_{\mathcal{F}}, \varphi_{\mathcal{G}}]$ is arc-connected with respect to \mathfrak{T}_{δ} and therefore by Proposition 4.1, it is arc-connected with respect to \mathcal{S} .

Definitions. An element $u \in H(D)$ will be called *idempotent* if $u(x) = 0$ or $u(x) = 1$ for every $x \in D$. (This definition holds even when $D \notin \mathcal{A}$).

An idempotent u is said to be *trivial* if $u = 0$ or $u = 1$.

Now we can prove the following theorem.

Theorem 4.1. *Given $D \subset \mathbb{K}$, the following properties are equivalent:*

- i) There does not exist non-trivial idempotents on $H(D)$.*
- ii) D is infraconnected.*
- iii) $\text{Mult}(H(D), \mathcal{U}_D)$ is arc-connected with respect to the topology \mathcal{S} .*
- iv) $\text{Mult}(H(D), \mathcal{U}_D)$ is connected with respect to the topology \mathcal{S} .*

Proof. Since it is known that $i) \Leftrightarrow ii)$ ([5] and [7]) and since trivially $iii) \Rightarrow iv)$, we only have to prove that $ii) \Rightarrow iii)$ and that $iv) \Rightarrow i)$.

We first show that $ii) \Rightarrow iii)$. The proof is similar to this of Proposition 3.2. Let ${}_D\varphi_{\mathcal{F}}, {}_D\varphi_{\mathcal{G}} \in \text{Mult}(H(D), \mathcal{U}_D)$. Then \mathcal{F} and \mathcal{G} are two

circular filters on \mathbb{K} secant with D . By Proposition 4.2, the circular filter $\mathcal{M}_{\mathcal{F},\mathcal{G}}$ is secant with D . Hence by Proposition 4.2, both $[_D\varphi_{\mathcal{F}}, _D\varphi_{\mathcal{M}_{\mathcal{F},\mathcal{G}}}]$ and $[_D\varphi_{\mathcal{G}}, _D\varphi_{\mathcal{M}_{\mathcal{F},\mathcal{G}}}]$ are arc-connected subsets of $\text{Mult}(H(D), \mathcal{U}_D)$ with respect to \mathcal{S} . Hence, we may obviously construct a continuous path f from $[0, 1]$ into $\text{Mult}(H(D), \mathcal{U}_D)$, provided with \mathcal{S} , such that $f(0) = _D\varphi_{\mathcal{F}}$ and $f(1) = _D\varphi_{\mathcal{G}}$.

Now we prove that $iv) \Rightarrow i)$. Suppose that there exists a non-trivial idempotent f in $H(D)$. Then, for all $_D\varphi_{\mathcal{F}} \in \text{Mult}(H(D), \mathcal{U}_D)$, we have either $_D\varphi_{\mathcal{F}}(f) = 0$ or $_D\varphi_{\mathcal{F}}(f) = 1$. Let A and B be the subsets of $\text{Mult}(H(D), \mathcal{U}_D)$ defined as $A = \{ _D\varphi_{\mathcal{F}} \in \text{Mult}(H(D), \mathcal{U}_D) \mid _D\varphi_{\mathcal{F}}(f) = 0 \}$ and $B = \{ _D\varphi_{\mathcal{F}} \in \text{Mult}(H(D), \mathcal{U}_D) \mid _D\varphi_{\mathcal{F}}(f) = 1 \}$. We have $A \cup B = \text{Mult}(H(D), \mathcal{U}_D)$. Both A and B are not empty because for $a \in D$ such that $f(a) = 0$, we have $_D\Phi(\mathcal{F}_a) \in A$, and for $b \in D$ such that $f(b) = 1$, we have $_D\Phi(\mathcal{F}_b) \in B$. So, we just have to check that A is closed. Let $_D\varphi_{\mathcal{F}} \in \overline{A}$, and let $_D\varphi_{\mathcal{G}} \in V(_D\varphi_{\mathcal{F}}, f, \frac{1}{2}) \cap A$. Then $|_D\varphi_{\mathcal{F}}(f) - _D\varphi_{\mathcal{G}}(f)|_{\infty} = |_D\varphi_{\mathcal{G}}(f)|_{\infty} \leq \frac{1}{2}$ and therefore $_D\varphi_{\mathcal{F}}(f) = 0$. In the same way, so is B . This ends the proof.

Remark 1. In general, in [10] B. Guennebaud proved that given a \mathbb{K} -Banach algebra, then $\text{Mult}(A, \|\cdot\|)$ is connected if and only if A has no non trivial idempotents. Here we get a link between this property, arc-connectedness, and infraconnected sets.

Remark 2. According to [2], given an affinoid \mathbb{K} -algebra A , if $\text{Mult}(A, \|\cdot\|)$ is connected then it is arc-connected.

According to [7, Th. 12.1], every element of $\text{Mult}(R(D), \mathcal{U}_D)$ uniquely extends to $H(D)$ to an element of $\text{Mult}(H(D), \mathcal{U}_D)$. Conversely, every element of $\text{Mult}(H(D), \mathcal{U}_D)$ defines by restriction to $R(D)$, an element of $\text{Mult}(R(D), \mathcal{U}_D)$. Hence, since $R(D)$ is dense in $H(D)$ with respect to \mathcal{U}_D , we clearly see that $\text{Mult}(H(D), \mathcal{U}_D)$ and $\text{Mult}(R(D), \mathcal{U}_D)$ are homeomorphic with respect to the topology \mathcal{S} . So, we have the following theorem.

Theorem 4.2. *Given $D \subset \mathbb{K}$, the following properties are equivalent:*

- i) D is infraconnected.*
- ii) $\text{Mult}(R(D), \mathcal{U}_D)$ is arc-connected with respect to the topology \mathcal{S} .*
- iii) $\text{Mult}(R(D), \mathcal{U}_D)$ is connected with respect to the topology \mathcal{S} .*

Notation. As noticed in the Remark following Theorem 2.2, there exists a natural injection from \mathbb{K} into $\text{Mult}(\mathbb{K}[X])$ that, to each point $a \in \mathbb{K}$, associates φ_a . In the same way, there exists a natural injection Ψ from D into $\text{Mult}(H(D), \mathcal{U}_D)$ that, to each point $a \in D$, associates ${}_D\varphi_a$. So, every subset A of D may be considered as a subset of $\text{Mult}(H(D), \mathcal{U}_D)$ and we denote by \underline{A} the closure of A in $\text{Mult}(H(D), \mathcal{U}_D)$ with respect to \mathcal{S} .

If A is a subset of \mathbb{K} , we denote by U_A the set of the $\varphi_{\mathcal{F}} \in \text{Mult}(\mathbb{K}[X])$ such that the associated circular filter \mathcal{F} on \mathbb{K} is secant with A .

In the same way, if A is a subset of D , we denote by ${}_DU_A$ the set of the ${}_D\varphi_{\mathcal{F}} \in \text{Mult}(H(D), \mathcal{U}_D)$ such that ${}_D\mathcal{F}$ is secant with A .

Remark. Given two subsets A and B of D , ${}_DU_{A \cap B}$ is included in ${}_DU_A \cap {}_DU_B$.

Proposition 4.3. *Let $D \subset \mathbb{K}$. For every subset A of D , we have $\underline{A} = {}_DU_A$.*

Proof. We first show that ${}_DU_A \subset \underline{A}$. Let ${}_D\varphi_{\mathcal{F}} \in {}_DU_A$. As \mathcal{F} is secant with A , there exists a sequence $(x_n)_{n \in \mathbb{N}}$ in A thinner than \mathcal{F} . Then, for all $f \in H(D)$, we have ${}_D\varphi_{\mathcal{F}}(f) = \lim_{n \rightarrow \infty} |f(x_n)| = \lim_{n \rightarrow \infty} {}_D\varphi_{x_n}(f)$. Hence the sequence $({}_D\varphi_{x_n})_{n \in \mathbb{N}}$ converges in $\text{Mult}(H(D), \mathcal{U}_D)$ to ${}_D\varphi_{\mathcal{F}}$ with respect to \mathcal{S} . Since for all $n \in \mathbb{N}$, ${}_D\varphi_{x_n}$ lies in A , then ${}_D\varphi_{\mathcal{F}}$ lies in \underline{A} .

Now, we will show that $\underline{A} \subset {}_DU_A$. Let ${}_D\varphi_{\mathcal{F}} \in \underline{A}$ and suppose that \mathcal{F} is not secant with A .

If \mathcal{F} has no center, we denote by $(D_n)_{n \in \mathbb{N}} = d(a_n, r_n)_{n \in \mathbb{N}}$ a canonical basis of \mathcal{F} . So, there exists a disk D_i in this basis such that $A \cap D_i = \emptyset$. Hence, for all $c \in A$, we have $|c - a_{i+1}| > r_i > r_{i+1}$ and therefore $|\varphi_{\mathcal{F}}(x - a_{i+1}) - \varphi_{\mathcal{F}_c}(x - a_{i+1})|_{\infty} = |r_{i+1} - |c - a_{i+1}||_{\infty} > |r_i - r_{i+1}|_{\infty}$. Hence, we have $V({}_D\varphi_{\mathcal{F}}, x - a_{i+1}, r_i - r_{i+1}) \cap A = \emptyset$ and therefore ${}_D\varphi_{\mathcal{F}} \notin \underline{A}$, which contradicts the hypothesis.

If \mathcal{F} has a center and is large, then, there exists an infraconnected affinoid B , element of the canonical basis of \mathcal{F} , whose holes are denoted by $T_i = d(a_i, r_i^-)$, $i = 1, \dots, n$, such that $|a_i - a_j| = \text{diam}(\mathcal{F})$ for $i \neq j$ and $B \cap A = \emptyset$. Since $r_i < \text{diam}(\mathcal{F}) < \text{diam}(B)$ for all $i = 1, \dots, n$, there exists $\varepsilon > 0$ such that $\varepsilon < \text{diam}(B) - \text{diam}(\mathcal{F})$ and $\varepsilon < \inf_{i=1, \dots, n} (\text{diam}(\mathcal{F}) - r_i)$. Let $b \in A$. Then, since $B \cap A = \emptyset$, for all $i \in \{1, \dots, n\}$: either $|b - a_i| < r_i$

or $|b - a_i| > \text{diam}(B)$, and therefore, we have either $|\text{diam}(\mathcal{F}) - |b - a_i||_\infty > \text{diam}(\mathcal{F}) - r_i$, or $|\text{diam}(\mathcal{F}) - |b - a_i||_\infty > |\text{diam}(B) - \text{diam}(\mathcal{F})|_\infty$. In both cases, we have $|\text{diam}(\mathcal{F}) - |b - a_i||_\infty > \varepsilon$, hence, $|_D\varphi_b(x - a_i) - \varphi_{\mathcal{F}}(x - a_i)|_\infty > \varepsilon$. This last inequality is obtained for all $b \in A$, hence, we have $\bigcap_{i=1}^n V({}_D\varphi_{\mathcal{F}}, x - a_i, \varepsilon) \cap A = \emptyset$, and then ${}_D\varphi_{\mathcal{F}} \notin \underline{A}$. This contradicts the hypothesis.

Finally suppose that \mathcal{F} is a Cauchy circular filter of center a . So, there exists a disk $d(a, r)$ in \mathcal{F} such that $d(a, r) \cap A = \emptyset$. Hence, for $r' \in]0, r[$ we have $|a - b| > r - r'$ for all $b \in A$. Hence we have $V({}_D\varphi_{\mathcal{F}}, x - a, r - r') \cap A = \emptyset$, which contradicts the hypothesis " ${}_D\varphi_{\mathcal{F}} \in \underline{A}$ " and completes the proof.

The two following lemmas are useful when proving Theorem 4.3.

Lemma 4.3. *Let \mathcal{F} be a circular filter on \mathbb{K} , let $a \in \mathbb{K}$ and let $r = \varphi_{\mathcal{F}}(x - a)$.*

If $r > 0$ then for all $\varepsilon \in]0, r[$ we have $W(\varphi_{\mathcal{F}}, x - a, \varepsilon) = U_{\Delta(a, r - \varepsilon, r + \varepsilon)}$.

If $r = 0$ then, for all $\varepsilon > 0$, $W(\varphi_{\mathcal{F}}, x - a, \varepsilon) = U_{d(a, \varepsilon)}$.

Proof. We notice that if $r = 0$ then \mathcal{F} is the Cauchy circular filter of center a . Let \mathcal{G} be a circular filter on \mathbb{K} secant with $\Delta(a, r - \varepsilon, r + \varepsilon)$ (resp. $d(a, \varepsilon)$). There exists a sequence $(\alpha_n)_{n \in \mathbb{N}}$ in $\Delta(a, r - \varepsilon, r + \varepsilon)$ (resp. $d(a, \varepsilon)$) thinner than \mathcal{G} . So, we have $|\alpha_n - a| - r|_\infty \leq \varepsilon \ \forall n \in \mathbb{N}$. But, since $\varphi_{\mathcal{G}}(x - a) = \lim_{n \rightarrow +\infty} |\alpha_n - a|$, we have $|\varphi_{\mathcal{G}}(x - a) - r|_\infty \leq \varepsilon$. Hence, $\varphi_{\mathcal{G}} \in W(\varphi_{\mathcal{F}}, x - a, \varepsilon)$.

Conversely, let $\varphi_{\mathcal{G}} \in W(\varphi_{\mathcal{F}}, x - a, \varepsilon)$ (where r may be equal to 0). Then, we have $|\varphi_{\mathcal{G}}(x - a) - r|_\infty \leq \varepsilon$. We first suppose that $a \in \mathcal{Q}(\mathcal{G})$. If $\varphi_{\mathcal{G}}(x - a) > r$ (resp. $\varphi_{\mathcal{G}}(x - a) < r$, resp. $\varphi_{\mathcal{G}}(x - a) = r$), we consider an increasing (resp. decreasing, resp. monotonuous) distances sequence $(\alpha_n)_{n \in \mathbb{N}} \subset d(a, \text{diam}(\mathcal{G})^-)$ (resp. $(\alpha_n)_{n \in \mathbb{N}} \subset \mathbb{K}$) thinner than \mathcal{G} ([9, 7]). Since $\varphi_{\mathcal{G}}(x - a) = \lim_{n \rightarrow +\infty} |\alpha_n - a|$, there exists $N_1 \in \mathbb{N}$ such that for all $n \geq N_1$, we have $\varphi_{\mathcal{G}}(x - a) > |\alpha_n - a| > r$ (resp. $\varphi_{\mathcal{G}}(x - a) < |\alpha_n - a| < r$, resp. $|r - |\alpha_n - a||_\infty < \varepsilon$). Now, for every $B \in \mathcal{G}$, there exists $N_2 \in \mathbb{N}$ such that $\alpha_n \in B$ whenever $n \geq N_2$. So, \mathcal{G} is secant with $\Delta(a, r - \varepsilon, r + \varepsilon)$ (resp. $d(a, \varepsilon)$). If $a \notin \mathcal{Q}(\mathcal{G})$, it is easily seen that there exists $B \in \mathcal{G}$ such that $\varphi_{\mathcal{G}}(x - a) = |y - a|$, whenever $y \in B$. Hence $B \subset \Delta(a, r - \varepsilon, r + \varepsilon)$ (resp. $B \subset d(a, \varepsilon)$) and then it follows that \mathcal{G} is secant with $\Delta(a, r - \varepsilon, r + \varepsilon)$ (resp. $d(a, \varepsilon)$).

Proposition 4.4. For $i \in \{1, \dots, n\}$, let $a_i \in \mathbb{K}$ and let $r'_i > r_i > 0$. Let $E = \bigcap_{i=1}^n \Delta(a_i, r_i, r'_i)$. Then $\bigcap_{i=1}^n U_{\Delta(a_i, r_i, r'_i)} = U_E$.

Proof. It is clear that $U_E \subset \bigcap_{i=1}^n U_{\Delta(a_i, r_i, r'_i)}$. Let \mathcal{F} be a circular filter on \mathbb{K} such that $\varphi_{\mathcal{F}} \in \bigcap_{i=1}^n U_{\Delta(a_i, r_i, r'_i)}$. If $E = \emptyset$, then the claim is trivial. So we suppose $E \neq \emptyset$. Then $\bigcap_{i=1}^n d(a_i, r'_i) \neq \emptyset$, hence we may assume $a_1 \in \bigcap_{i=1}^n d(a_i, r'_i)$. Let $\rho = \inf_{1 \leq i \leq n} (r'_i)$. Then $\mathbb{K} \setminus E = (\mathbb{K} \setminus d(a_1, \rho)) \cup (\bigcup_{i=1}^n d(a_i, r_i^-))$. More precisely, There exists a set $I \subset \{1, \dots, n\}$ such that $\mathbb{K} \setminus E = (\mathbb{K} \setminus d(a_1, \rho)) \cup (\bigcup_{i \in I} d(a_i, r_i^-))$ and $d(a_i, r_i^-) \cap d(a_j, r_j^-) = \emptyset$ if $i, j \in I$ and $i \neq j$. Suppose that \mathcal{F} is not secant with E . Then either it is secant with $\mathbb{K} \setminus d(a_1, \rho)$ or it is secant with one of the $d(a_i, r_i^-)$ ($i \in I$) which are the holes of E .

Suppose first \mathcal{F} is secant with $\mathbb{K} \setminus d(a_1, \rho)$. Since it is not secant with E , more precisely there does exist $\rho' > \rho$ such that \mathcal{F} is not secant with $d(a_1, \rho')$. And therefore \mathcal{F} is not secant with $\Delta(a_1, r_1, r'_1)$, which contradicts the hypothesis $\varphi_{\mathcal{F}} \in U_{\Delta(a_1, r_1, r'_1)}$.

Now suppose that \mathcal{F} is secant with a certain $d(a_i, r_i^-)$ ($i \in I$). Since \mathcal{F} is not secant with E , we have $\text{diam}(\mathcal{F}) < r_i$ and therefore \mathcal{F} is not secant with $\Delta(a_i, r_i, r'_i)$. A contradiction with the hypothesis. As a consequence \mathcal{F} is secant with E , and therefore $\varphi_{\mathcal{F}} \in U_E$. This finishes proving that $\bigcap_{i=1}^n U_{\Delta(a_i, r_i, r'_i)} \subset U_E$.

Theorem 4.3. Let D be infraconnected and let ${}_D\varphi_{\mathcal{F}} \in \text{Mult}(H(D), \mathcal{U}_D)$. Then the set $\{{}_D U_A \mid A \in {}_D\mathcal{F}\}$ is a basis of the filter \mathfrak{F} of neighbourhoods of ${}_D\varphi_{\mathcal{F}}$ with respect to \mathcal{S} .

Proof. It is clearly seen that $\{{}_D U_A \mid A \in {}_D\mathcal{F}\}$ is a basis of a filter, since $\emptyset \notin \{{}_D U_A \mid A \in {}_D\mathcal{F}\}$ and ${}_D U_{A \cap B} \subset {}_D U_A \cap {}_D U_B$ for any $A, B \in {}_D\mathcal{F}$.

Let $\bigcap_{j=1}^q V(D\varphi_{\mathcal{F}}, f_j, \varepsilon_j)$ be a canonical neighbourhood of $D\varphi_{\mathcal{F}}$ and let $\varepsilon = \inf_{i=1, \dots, q} (\varepsilon_i)$. As $D\varphi_{\mathcal{F}}(f_i) = \lim_{D\mathcal{F}} |f_i(x)|$, for all $i = 1, \dots, q$, there exists an infraconnected affinoid element B_i of the canonical basis of \mathcal{F} (in \mathbb{K}) such that $|D\varphi_{\mathcal{F}}(f_i) - |f_i(x)||_{\infty} < \varepsilon, \forall x \in B_i \cap D$. Let $E = \bigcap_{j=1}^q B_j$. Given $D\varphi_{\mathcal{G}} \in \text{Mult}(H(D), \mathcal{U}_D)$ such that the circular filter $D\mathcal{G}$ on D is secant with E , we have $|D\varphi_{\mathcal{F}}(f_i) - D\varphi_{\mathcal{G}}(f_i)|_{\infty} < \varepsilon, \forall i = 1, \dots, q$. Then $DUE \subset \bigcap_{j=1}^q V(D\varphi_{\mathcal{F}}, f_j, \varepsilon_j)$. Hence $\bigcap_{j=1}^q V(D\varphi_{\mathcal{F}}, f_j, \varepsilon_j)$ belongs to \mathfrak{F} since \mathfrak{F} is a filter.

Now let $A \in D\mathcal{F}$. We first suppose that \mathcal{F} is large and has a center. So, there exists an infraconnected affinoid set B of the canonical basis of \mathcal{F} in \mathbb{K} such that $B \cap D \subset A$ and such that the holes $T_i = d(a_i, r_i^-)$ of B satisfy $|a_i - a_j| = \text{diam}(\mathcal{F}), \forall i \neq j, i = 1, \dots, n$. Let $r = \sup_{i=1, \dots, n} (r_i)$. It is clear that $r < \text{diam}(\mathcal{F}) < \text{diam}(B)$. Let $\lambda > 0$ be such that $\lambda < \inf(\text{diam}(\mathcal{F}) - r, \text{diam}(B) - \text{diam}(\mathcal{F}))$. For all $i \in \{1, \dots, n\}$ we have $D\varphi_{\mathcal{F}}(x - a_i) = \text{diam}(\mathcal{F})$. Put $F = \bigcap_{i=1}^n \Delta(a_i, \text{diam}(\mathcal{F}) - \lambda, \text{diam}(\mathcal{F}) + \lambda) \cap D$ and $F_i = \Delta(a_i, \text{diam}(\mathcal{F}) - \lambda, \text{diam}(\mathcal{F}) + \lambda) \cap D$ for $i = 1, \dots, n$. So, by Lemma 4.3 and Proposition 4.4, we have $\bigcap_{i=1}^n V(D\varphi_{\mathcal{F}}, x - a_i, \lambda) = \bigcap_{i=1}^n V(\varphi_{\mathcal{F}}, x - a_i, \lambda) \cap \text{Mult}(H(D), \mathcal{U}_D) \subset \bigcap_{i=1}^n W(\varphi_{\mathcal{F}}, x - a_i, \lambda) \cap \text{Mult}(H(D), \mathcal{U}_D) = \bigcap_{i=1}^n DUF_i \cap \text{Mult}(H(D), \mathcal{U}_D) \subset DUF \cap \text{Mult}(H(D), \mathcal{U}_D) \subset DU_{B \cap D} \cap \text{Mult}(H(D), \mathcal{U}_D) \subset DU_A$.

Now, suppose that \mathcal{F} is a Cauchy circular filter of center a . So, there exists a disk $d(a, r)$ such that $d(a, r) \cap D \subset A$. By Lemma 4.3 we see that $W(D\varphi_{\mathcal{F}}, x - a, r) = W(\varphi_{\mathcal{F}}, x - a, r) \cap \text{Mult}(H(D), \mathcal{U}_D) = U_{d(a, r)} \cap \text{Mult}(H(D), \mathcal{U}_D) \subset DU_A$.

Finally we suppose that \mathcal{F} has no center. We denote by $(D_n)_{n \in \mathbb{N}}$ a canonical basis $(d(a_n, r_n))_{n \in \mathbb{N}}$ of \mathcal{F} in \mathbb{K} . There exists a disk $D_i = d(a_i, r_i)$ of this basis such that $D_i \cap D \in A$. We may clearly suppose that $a_i \notin D_{i+1} = d(a_{i+1}, r_{i+1})$. Let $\lambda > 0$ be such that $\lambda < |a_{i+1} - a_i|$. For all $i \in \mathbb{N}$, we put $F_i = \Delta(a_{i+1}, \varphi_{\mathcal{F}}(x - a_{i+1}) - \lambda, \varphi_{\mathcal{F}}(x - a_{i+1}) + \lambda)$, then by Lemma 4.3, we have $V(D\varphi_{\mathcal{F}}, x - a_{i+1}, \lambda) = V(\varphi_{\mathcal{F}}, x - a_{i+1}, \lambda) \cap \text{Mult}(H(D), \mathcal{U}_D) \subset W(\varphi_{\mathcal{F}}, x - a_{i+1}, \lambda) \cap \text{Mult}(H(D), \mathcal{U}_D) = DUF_i \cap \text{Mult}(H(D), \mathcal{U}_D) \subset DU_{D_i \cap D} \cap \text{Mult}(H(D), \mathcal{U}_D) \subset DU_A$.

So, in any case, DU_A is a neighbourhood of $D\varphi_{\mathcal{F}}$ and this ends the proof.

Corollary 4.1. *Let D be infraconnected and let \mathcal{F} be a circular filter on \mathbb{K} secant with D . Let $\mathcal{B}(\mathcal{F})$ be a basis of \mathcal{F} . Then, the set $\{ {}_D U_{B \cap D} \mid B \in \mathcal{B}(\mathcal{F}) \}$ is a basis of the filter of neighbourhoods of ${}_D \varphi_{\mathcal{F}}$ in $\text{Mult}(H(D), \mathcal{U}_D)$ with respect to \mathcal{S} .*

Corollary 4.2. *Let D be infraconnected. If \mathbb{K} is weakly valued, then the filter of neighbourhoods of any ${}_D \varphi_{\mathcal{F}} \in \text{Mult}(H(D), \mathcal{U}_D)$ admits a countable basis.*

Proof. This is a direct consequence of Corollary 4.1, since a circular filter on \mathbb{K} admits a countable basis when \mathbb{K} is weakly valued, [7].

Proposition 4.5. *Let $D \subset \mathbb{K}$ and let A be a closed subset of \mathbb{K} such that $A \cap D \neq \emptyset$. Then the mapping which to ${}_{A \cap D} \varphi_{\mathcal{F}} \in \text{Mult}(H(A \cap D), \mathcal{U}_{A \cap D})$, associates its restriction ${}_D \varphi_{\mathcal{F}}$ to $H(D)$ is a continuous bijection from $\text{Mult}(H(A \cap D), \mathcal{U}_{A \cap D})$ into ${}_D U_{A \cap D}$, both provided with the topology of simple convergence.*

Proof. This mapping is denoted ϕ . By Theorem 4.14 [7], ϕ is injective. Now, let ${}_D \varphi_{\mathcal{F}} \in {}_D U_{A \cap D}$. So, ${}_D \mathcal{F}$ is secant with $A \cap D$. First, suppose that ${}_D \mathcal{F}$ is large, then it defines a circular filter on $A \cap D$ and consequently, ${}_{A \cap D} \varphi_{\mathcal{F}} \in \text{Mult}(H(A \cap D), \mathcal{U}_{A \cap D})$ and $\phi({}_{A \cap D} \varphi_{\mathcal{F}}) = {}_D \varphi_{\mathcal{F}}$. On the other hand, if ${}_D \mathcal{F}$ is a Cauchy circular filter on D of center a , then by definition $a \in D$. Further, as A is closed in \mathbb{K} and ${}_D \mathcal{F}$ is secant with A , we see that $a \in A$. Therefore $a \in A \cap D$ and then ${}_{A \cap D} \varphi_a \in \text{Mult}(H(A \cap D), \mathcal{U}_{A \cap D})$ and $\phi({}_{A \cap D} \varphi_a) = {}_D \varphi_{\mathcal{F}} = {}_D \varphi_a$. So, ϕ is bijective.

Now, we will show that ϕ is continuous. Let ${}_D \varphi_{\mathcal{F}} \in {}_D U_{A \cap D}$ and let $V = \bigcap_{j=1}^q V({}_D \varphi_{\mathcal{F}}, f_j, \varepsilon_j)$ ($f_j \in H(D)$, $\varepsilon_j > 0$ for all $j \in \{1, \dots, q\}$ and $q \in \mathbb{N}^*$) be a canonical neighbourhood of ${}_D \varphi_{\mathcal{F}}$ with respect to topology of simple convergence on ${}_D U_{A \cap D}$. Then, obviously we see that

$$\phi^{-1}(V) = \bigcap_{j=1}^q V({}_{A \cap D} \varphi_{\mathcal{F}}, f_j / {}_{A \cap D}, \varepsilon_j)$$

which is a canonical neighbourhood of ${}_{A \cap D} \varphi_{\mathcal{F}}$ with respect to topology of simple convergence on $\text{Mult}(H(A \cap D), \mathcal{U}_{A \cap D})$. This proves that ϕ is continuous.

hood of ${}_{A \cap D} \varphi_{\mathcal{F}}$ with respect to topology of simple convergence on $\text{Mult}(H(A \cap D), \mathcal{U}_{A \cap D})$. This proves that ϕ is continuous.

Theorem 4.4. *Let D be infraconnected. Then $\text{Mult}(H(D), \mathcal{U}_D)$ is a local arc-connected space with respect to \mathcal{S} .*

Proof. We have to prove that, given any $D\varphi_{\mathcal{F}} \in \text{Mult}(H(D), \mathcal{U}_D)$, there exists a basis of neighbourhoods of $D\varphi_{\mathcal{F}}$ whose elements are arc-connected. In chapter 0, we have shown that a such circular filter \mathcal{F} on \mathbb{K} admits a basis $\mathcal{B}(\mathcal{F})$ which consists of infraconnected affinoid sets. Given $B \in \mathcal{B}(\mathcal{F})$ secant with D , by Lemma 1.1, $B \cap D$ is infraconnected. Hence, by Theorem 4.1 $\text{Mult}(H(B \cap D), \mathcal{U}_{B \cap D})$ is arc-connected and then by Proposition 4.5, $D\mathcal{U}_{B \cap D}$ is arc-connected too. This ends the proof.

Remark. It is well known that a topological space which is connected and locally arc-connected is arc-connected. Here, conversely, we have shown that when $\text{Mult}(H(D), \mathcal{U}_D)$ is connected, then it is locally arc-connected. However, we notice that the proof is just based on Theorem 4.1. So, it does not seem easy to prove first the local arc-connectedness.

5 Metrizable of $(\text{Mult}(H(D), \mathcal{U}_D), \mathcal{S})$.

In this chapter, we give some conditions for metrizable of the topology \mathcal{S} on $\text{Mult}(H(D), \mathcal{U}_D)$ and we look for equivalence between topologies \mathcal{S} and \mathcal{T}_δ . We need the following basic lemma in topology (see, for example ex. 16A4 [13]).

Notation. Given any topological space E , countable intersection of open sets is usually named G_δ -set. Here, in order to avoid any confusion with the distance δ already defined, we will denote such a set a G_τ -set.

Lemma 5.1. *Let (E, T) be a compact topological space and let $x \in E$. If $\{x\}$ is a G_τ -set, then x admits a countable system of neighbourhoods.*

Proof. Since $\{x\}$ is a G_τ -set, there exists a decreasing sequence of open sets $(U_n)_{n \in \mathbb{N}}$ such that $\{x\} = \bigcap_{n \in \mathbb{N}} U_n$. Since E is a regular space, as it is compact, there exists a decreasing sequence of open sets $(V_n)_{n \in \mathbb{N}}$ such that, for all $n \in \mathbb{N}$, $x \in V_n \subset \overline{V}_n \subset U_n$. Let W be an open neighbourhood of x , and suppose that, for all $n \in \mathbb{N}$, \overline{V}_n is not included in W . Then, the sequence $(\overline{V}_n \setminus W)_{n \in \mathbb{N}}$ is a decreasing sequence of compact subsets of E . So, their intersection is not empty. This contradicts the fact that $\{x\} = \bigcap_{n \in \mathbb{N}} U_n$. Hence, there exists $N \in \mathbb{N}$, such that $\overline{V}_n \subset W$ and therefore, the sequence $(V_n)_{n \in \mathbb{N}}$ is a countable system of neighbourhoods of x . This ends the proof.

Theorem 5.1. *Let $D \subset \mathbb{K}$ be closed and bounded. If $\text{Mult}(H(D), \mathcal{U}_D)$ is countable, then the topology \mathcal{S} is metrizable.*

Proof. By Tykhonov's theorem, it is known that when D is closed and bounded, then $\text{Mult}(H(D), \mathcal{U}_D)$ is compact with respect to \mathcal{S} , Theorem 1.11 [7]. Suppose that

$\text{Mult}(H(D), \mathcal{U}_D)$ is countable. Given any $\varphi \in \text{Mult}(H(D), \mathcal{U}_D)$, it is clearly seen that $\{\varphi\}$ is a G_τ -set because it is the intersection of complementaries of a countable family of finite subsets of $\text{Mult}(H(D), \mathcal{U}_D)$ which do not contain φ . Then, by Lemma 5.1, every $\varphi \in \text{Mult}(H(D), \mathcal{U}_D)$ admits a countable system of neighbourhoods. Hence, since $\text{Mult}(H(D), \mathcal{U}_D)$ is countable, there exists a countable basis of open sets for the topology \mathcal{S} . Then, by the Nagata-Smirnov Theorem [3], \mathcal{S} is metrizable.

Recall that Ψ denotes the injection from D into $\text{Mult}(H(D), \mathcal{U}_D)$ that, to each point $a \in D$, associates ${}_D\varphi_a$.

Definition. D will be said simple if there is no large circular filter on D . i.e. if Ψ is a bijection onto $\text{Mult}(H(D), \mathcal{U}_D)$.

Remark. If a closed simple set D lies in \mathcal{A} , then it is bounded. In order to simplify notation, when D is simple, we will identify every $a \in D$ with $\Psi(a)$.

Simplicity is not equivalent to countability as it will be shown in Example 2.

Theorem 5.2. *Let $D \in \mathcal{A}$ be closed. The following propositions are equivalent:*

- i) D is simple.*
- ii) D is compact.*
- iii) Ψ is a bijection.*
- iv) Topologies \mathcal{S} and \mathfrak{T}_δ on $\text{Mult}(H(D), \mathcal{U}_D)$ are equivalent.*

Proof. For convenience we identify D with $\Psi(D)$. The equivalence between *i)* and *iii)* is obvious. We first show that *i) \Leftrightarrow iv)*. Given $\varepsilon > 0$ and ${}_D\varphi_{\mathcal{F}} \in \text{Mult}(H(D), \mathcal{U}_D)$, we denote by $B({}_D\varphi_{\mathcal{F}}, \varepsilon)$ the open ball in

$\text{Mult}(H(D), \mathcal{U}_D)$ of center ${}_D\varphi_{\mathcal{F}}$ and radius ε with respect to the distance δ .

$i) \Rightarrow iv)$. Suppose that D is simple. Given $a \in D$ and $\varepsilon > 0$, by definition of the distance δ it is seen that $B(a, \varepsilon) = \{y \in D \mid |y - a| < \frac{\varepsilon}{2}\}$. For any $x, y \in D$, we define $P_y \in H(D)$ by $P_y(x) = x - y$. Then we see that $B(a, \varepsilon) = V(a, P_a, \frac{\varepsilon}{2})$, and then $B(a, \varepsilon)$ is an open set with respect to \mathcal{S} . This shows that \mathcal{S} is thinner than \mathfrak{T}_δ , and then, by Proposition 4.1, topologies \mathcal{S} and \mathfrak{T}_δ are equivalent.

$iv) \Rightarrow i)$. We suppose that D is not simple. Hence, there exists a large circular filter ${}_D\mathcal{F}$ on D . By Lemma 3.2 [7], there exists a sequence $(x_n)_{n \in \mathbb{N}}$ thinner than ${}_D\mathcal{F}$. Let $\beta > 0$ be such that $\beta < \text{diam}({}_D\mathcal{F})$. For all $a \in \mathbb{K}$, we clearly have $\delta({}_D\varphi_{\mathcal{F}}, {}_D\varphi_a) \geq \text{diam}({}_D\mathcal{F})$ and then $B({}_D\varphi_{\mathcal{F}}, \beta)$ does not contain images by ${}_D\Phi$ of Cauchy filters on D , i.e. $B({}_D\varphi_{\mathcal{F}}, \beta)$ does not contain images by Ψ of points of D .

Let us take a basic open set W of the topology \mathcal{S} . It is of the form $\bigcap_{j=1}^q V({}_D\varphi_{\mathcal{F}}, h_j, \varepsilon_j)$, $q \in \mathbb{N}^*$. We put $\varepsilon = \inf_{j=1, \dots, q} \varepsilon_j$. Since the sequence $(x_n)_{n \in \mathbb{N}}$ is thinner than ${}_D\mathcal{F}$, there exists $N \in \mathbb{N}$ such that, for all $n \geq N$ and for all $j = 1, \dots, q$, we have $|{}_D\varphi_{\mathcal{F}}(h_j) - |h_j(x_n)||_\infty < \varepsilon$. Hence, W contains all images by ${}_D\Phi$ of Cauchy filters on D associated to the x_n , $n \geq N$. So, $B({}_D\varphi_{\mathcal{F}}, \beta)$ may not be an open set for the topology \mathcal{S} , and therefore \mathcal{S} and \mathfrak{T}_δ are not equivalent.

$iv) \Rightarrow ii)$. We have seen that if topologies \mathcal{S} and \mathfrak{T}_δ on $\text{Mult}(H(D), \mathcal{U}_D)$ are equivalent, then D is simple. Since D is closed, by the previous remark, it is bounded too. Hence, $\text{Mult}(H(D), \mathcal{U}_D)$ is compact with respect to \mathcal{S} ([7, Th 1.11]). The mapping Ψ , which is a bijection, is here an homeomorphism because the distance δ extends that of D . Hence, D is compact.

Finally we show that $ii) \Rightarrow i)$. Suppose that D is not simple. There exists a large circular filter ${}_D\mathcal{G}$ on D . It is known that there exists a monotonous distances sequence $(x_n)_{n \in \mathbb{N}} \subset D$, thinner than ${}_D\mathcal{G}$. But such a sequence does not admit accumulation point with respect to the metric topology of \mathbb{K} . As a consequence, D is not compact. This shows $ii) \Rightarrow i)$ and completes the proof.

Example 1. In this example, we construct a set D closed, bounded and not simple such that $\text{Mult}(H(D), \mathcal{U}_D)$ is countable. By Theorem 5.1, \mathcal{S} is metrizable, but by Theorem 5.2, topologies \mathcal{S} and \mathfrak{T}_δ are not

equivalent. However, we are not able to construct a distance giving \mathcal{S} .

Let $(a_n)_{n \in \mathbb{N}}$ be an injective sequence in $d(0, 1)$ such that, $\forall p, q \in \mathbb{N}$, $p \neq q$, $|a_p - a_q| = 1$ (each a_n lies in a different class of $d(0, 1)$). We put $D = \cup_{n \in \mathbb{N}} \{a_n\}$. The only one large circular filter on \mathbb{K} secant with D is the circular filter \mathcal{G} of center 0 and diameter 1. Then, $\text{Mult}(H(D), \mathcal{U}_D) = (\cup_{n \in \mathbb{N}} D\varphi_{a_n}) \cup_D \varphi_{\mathcal{G}}$ is countable.

Example 2. In this example, we show a set D closed, bounded and simple but not countable. Hence, by Theorem 5.2, this shows that topologies \mathcal{S} and \mathcal{T}_δ are equivalent on $\text{Mult}(H(D), \mathcal{U}_D)$ although D is not countable.

Let p be a prime number. We put $\mathbb{K} = \mathbb{C}_p$ and $D = \mathbb{Z}_p$. It is well known that \mathbb{Z}_p is not countable, but since \mathbb{Z}_p is compact, then it is simple. In particular, there is no large circular filter on \mathbb{C}_p secant with \mathbb{Z}_p .

Remark. We have seen that countability of $\text{Mult}(H(D), \mathcal{U}_D)$ is not a necessary condition for metrizability of the topology \mathcal{S} and that simplicity of D is not sufficient. It seems difficult to find a convenient necessary and sufficient condition for metrizability.

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