

## *Non-Containment of $l^1$ in Projective Tensor Products of Banach Spaces*

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**ABSTRACT.** Two properties on projective tensor products are introduced and briefly studied. We apply them to give sufficient conditions to assure the non-containment of  $l^1$  in a projective tensor product of Banach spaces.

Our notation is standard and we refer the reader to [3]. Let  $E$  and  $F$  be Banach spaces.  $L(E, F)$  and  $V(E, F)$  denote respectively the Banach spaces of bounded (linear) operators and of fully complete operators (i.e. those operators sending weakly convergent sequences into norm convergent ones) from  $E$  to  $F$ . Recall that every compact operator from  $E$  to  $F$  is fully complete and the converse holds whenever  $E$  has no copy of  $l^1$  (cf. [3, 17.1, and 17.7]).  $E \hat{\otimes} F$  denotes the projective tensor product of  $E$  and  $F$ . As usual, we make the canonical identification of the dual space  $(E \hat{\otimes} F)'$  with the Banach spaces  $L(E, F')$  and  $L(F, E')$ .

Let  $(x_n)_n$  and  $(y_n)_n$  denote sequences in the Banach spaces  $E$  and  $F$  respectively. We consider the following properties on  $E \hat{\otimes} F$ :

- (a)  $(x_n \otimes y_n)_n$  is weakly null whenever  $(x_n)_n$  and  $(y_n)_n$  are weakly null.
- (a')  $(x_n \otimes y_n)_n$  is weakly null if  $(x_n)_n$  is weakly null and  $(y_n)_n$  is weak-Cauchy.
- (b)  $(x_n \otimes y_n)_n$  is weakly null if  $(x_n)_n$  is weakly null and  $(y_n)_n$  is bounded.

Note that the property (b) is not symmetric, e.g.  $l^1 \hat{\otimes} c_0$  enjoys (b) and  $c_0 \hat{\otimes} l^1$  does not do it as can be easily checked.

The following result summarizes some basic facts on (a), (a') and (b).

1. **Proposition:** Let  $E$  and  $F$  be Banach spaces and consider the following assertions:

- (i)  $L(E, F') = V(E, F')$ .
- (ii)  $E \hat{\otimes} F$  has the property (b).
- (iii)  $E \hat{\otimes} F$  has the property (a').
- (iv)  $E \hat{\otimes} F$  has the property (a).

Then one has the chain of implications (i)  $\iff$  (ii)  $\implies$  (iii)  $\iff$  (iv). Moreover (iii)  $\implies$  (ii) whenever  $F$  has no copy of  $l^1$ .

**Proof.** (ii)  $\implies$  (i). Assume that there is a continuous linear mapping  $f$  from  $E$  into  $F'$  which does not belong to  $V(E, F')$ . Then, there is a weakly null sequence, say  $(x_n)_n$ , such that  $(f(x_n))_n$  does not converge to 0 in norm. By taking a subsequence if it is necessary, we can choose a bounded sequence  $(y_n)_n$  in  $F$  such that

$$\langle y_n, f(x_n) \rangle = \langle x_n \otimes y_n, f \rangle = 1$$

so contradicting (ii).

(iv)  $\implies$  (iii) follows by a standard argument (e.g. see [1, Theorem 1. (c)  $\implies$  (d)]). If  $F$  has no copy of  $l^1$ , then (ii) follows from (iii) by using the celebrated Rosenthal's  $l^1$ -theorem ([4]). The remaining implications are straightforward. ■

We use (a) and (b) to give a characterization of the classical Dunford-Pettis and Schur properties. We first recall the definitions: (D-P) A Banach space  $E$  is said to have the Dunford-Pettis property provided  $\lim_{n \rightarrow \infty} \langle x_n, x_n^* \rangle = 0$  whenever  $(x_n)_n$  is weakly null in  $E$  and  $(x_n^*)_n$  is weakly null in  $E'$ . (S) We say that a Banach space  $E$  has the Schur property if weak Cauchy sequences in  $E$  are norm convergent.

2. **Proposition:** Let  $E$  be a Banach space. The following are equivalent:

- (i)  $E \hat{\otimes} E'$  has the property (a).
- (ii)  $E$  has the Dunford-Pettis property,
- (iii)  $E \hat{\otimes} F$  has the property (a) for every Banach space  $F$ .
- (iv)  $E \hat{\otimes} F$  has the property (a) for every reflexive Banach space  $F$ .

**Proof.** (i)  $\implies$  (ii). Take  $(x_n)_n$  and  $(x_n^*)_n$  weakly null sequences in  $E$  and  $E'$  respectively. We denote by  $I_E$  the identity map of  $E'$  and set  $(\langle x_n, x_n^* \rangle)_n = (\langle x_n, I_E(x_n^*) \rangle)_n = (\langle x_n \otimes x_n^*, I_E \rangle)_n$  which is a null sequence by (a).

(ii)  $\implies$  (iii). Let  $(x_n)_n$  and  $(y_n)_n$  weakly null sequences in  $E$  and  $F$  respectively and take any  $f \in L(F, E')$ , then  $(f(y_n))_n$  is weakly null in  $E'$  hence  $(\langle x_n \otimes y_n, f \rangle)_n = (\langle x_n, f(y_n) \rangle)_n$  converges to 0. Thus  $(x_n \otimes y_n)_n$  is weakly null.

It is clear that (iii) implies (i) and (iv). We finish the proof by showing that (iv) implies (ii). Indeed, by (iv) and by Proposition 1 it follows that  $L(E, F) = V(E, F)$  for every reflexive Banach space  $F$ . Thus every weakly compact operator from  $E$  into any Banach space  $X$  is fully complete (use [3, 17.2.9]) and this already implies that  $E$  has the Dunford-Pettis property ([1, Theorem 1. (a)]). ■

We omit the proof of our next result since it is quite similar to the above one.

**3. Proposition.** *Let  $E$  be a Banach space. The following are equivalent:*

- (i)  $E \hat{\otimes} E'$  has the property (b),
- (ii)  $E$  has the Schur property,
- (iii)  $E \hat{\otimes} F$  has the property (b) for every Banach space  $F$ ,

We are now ready to provide sufficient conditions for the non-containment of  $l^1$  in a projective tensor product of Banach spaces. Recall that a subset  $A$  of a Banach space  $E$  is said to be *weakly conditionally compact* (wcc) if every sequence in  $A$  has a weak-Cauchy subsequence. From Rosenthal's Theorem,  $E$  does not contain a copy of  $l^1$  if and only if all bounded sets of  $E$  are wcc. So the next lemma is the key to our main result.

**4. Lemma.** *Let  $E$  and  $F$  be Banach spaces and let  $A$  and  $B$  be wcc sets in  $E$  and  $F$  respectively. Then  $\Gamma(A \otimes B)$  is wcc whenever  $E \hat{\otimes} F$  has the property (a).*

**Proof.** According to the results of [5] it is enough to show that  $A \hat{\otimes} B$  is wcc. Indeed, let  $(x_n \otimes y_n)_n$  be any sequence in  $A \otimes B$ . By passing to subsequences we assume that  $(x_n)_n$  and  $(y_n)_n$  are weak-Cauchy. We are done if we show that  $(x_n \otimes y_n)_n$  is weak-Cauchy. Indeed, in other case there would be  $\epsilon > 0$ ,  $f \in L(E, F')$  and a sequence  $n_1 < n_2 < \dots$ , such that

$$|\langle x_{n_k} \otimes y_{n_k} - x_{n_{k+1}} \otimes y_{n_{k+1}}, f \rangle| > \epsilon$$

However, we can set

$$\begin{aligned} \langle x_{n_k} \otimes y_{n_k} - x_{n_{k+1}} \otimes y_{n_{k+1}}, f \rangle &= \langle (x_{n_k} - x_{n_{k+1}}) \otimes y_{n_k}, f \rangle + \\ &+ \langle x_{n_{k+1}} \otimes (y_{n_k} - y_{n_{k+1}}), f \rangle \end{aligned}$$

and these sequences are null by (a') (recall that  $(a') \Leftrightarrow (a)$ ). This contradiction establishes our assertion. ■

The theorem below is our main result. It has been independently obtained by G. Emmanuele [2, Theorem 15], and it was already proved in [6, 4.4] under the additional hypotheses that  $E'$  has the Radon-Nikodym property and the approximation property.

**5. Theorem.** *Let  $E$  and  $F$  be Banach spaces which do not contain a copy of  $l^1$  and such that  $E \hat{\otimes} F$  has the property (a). Then  $E \hat{\otimes} F$  does not contain a copy of  $l^1$ .*

**Proof.** It readily follows by Lemma 4. ■

I thank Prof. Bombal for useful conversations and for the reference [2].

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Recibido: 9 de febrero de 1990  
Revisado: 4 de abril de 1990